

# Loop Subdivision Surface Based Progressive Interpolation

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**Abstract** A new method for constructing interpolating Loop subdivision surfaces is presented. The new method is an extension of the progressive interpolation technique for B-splines. Given a triangular mesh  $M$ , the idea is to iteratively upgrade the vertices of  $M$  to generate a new control mesh  $M'$  such that limit surface of  $M'$  would interpolate  $M$ . It can be shown that the iterative process is convergent for Loop subdivision surfaces. Hence, the method is well-defined. The new method has the advantages of both a local method and a global method, i.e., it can handle meshes of any size and any topology while generating smooth interpolating subdivision surfaces that faithfully resemble the shape of the given meshes. The meshes considered here can be open or closed.

**Abstract** geometric modeling, loop subdivision surfaces, progressive interpolation

## 1 Introduction

Subdivision surfaces are becoming popular in many areas such as animation, geometric modeling and games because of their capability in representing any shape with only one surface. A subdivision surface is generated by repeatedly refining a control mesh to get a limit surface. Hence, a subdivision surface is determined by the way the control mesh is refined, i.e., the *subdivision scheme*. A subdivision scheme is called an *interpolating scheme* if the limit surface interpolates the given control mesh. Otherwise, it is called an *approximating scheme*. Popular subdivision schemes such as Catmull-Clark scheme<sup>[1]</sup>, Doo-Sabin scheme<sup>[2]</sup>, and Loop scheme<sup>[3]</sup> are approximating schemes while the Butterfly scheme<sup>[4]</sup>, the improved Butterfly scheme<sup>[5]</sup> and the Kobbelt scheme<sup>[6]</sup> are interpolating schemes.

An interpolating subdivision scheme generates new vertices by performing local affine combinations on nearby vertices. This approach is simple and easy to implement. Because of its *local property*, it can handle meshes with a large number of vertices. However, since no vertex is ever moved once it is computed, any distortion in the early stage of the subdivision will persist.

This makes interpolating subdivision schemes very sensitive to irregularity in the given mesh. In addition, it is difficult for this approach to interpolate normals or derivatives.

On the other hand, even though subdivision surfaces generated by approximating subdivision schemes do not interpolate their control meshes, it is possible to use this approach to generate a subdivision surface to interpolate the vertices of a given mesh. One method, called *global optimization*, does the work by building a global linear system with some fairness constraints to avoid undesired undulations<sup>[7,8]</sup>. The solution to the global linear system is a control mesh whose limit surface interpolates the vertices of the given mesh. Because of its *global property*, this method generates smooth interpolating subdivision surfaces that resemble the shape of the given meshes well. But, for the same reason, it is difficult for this method to handle meshes with a large number of vertices.

To avoid the computational cost of solving a large system of linear equations, several other methods have been proposed. A two-phase subdivision method that works for meshes of any size was presented by Zheng and Cai for Catmull-Clark scheme<sup>[9]</sup>. A method pro-



posed by Lai and Cheng<sup>[10]</sup> for Catmull-Clark subdivision scheme avoids the need of solving a system of linear equations by utilizing the concept of similarity in the construction process. Litke, Levin and Schöder avoid the need of solving a system of linear equation by quasi-interpolating the given mesh<sup>[11]</sup>. However, a method that has the advantages of both a local method and a global method is not available yet.

In this paper a new method for constructing a smooth Loop subdivision surface that interpolates the vertices of a given triangular mesh is presented. The new method is an extension of the progressive interpolation technique for B-splines<sup>[12-16]</sup>. The idea is to iteratively upgrade the locations of the given mesh vertices until a control mesh whose limit surface interpolates the given mesh is obtained. It can be proved that the iterative interpolation process is convergent for Loop subdivision surfaces. Hence, the method is well-defined for Loop subdivision surfaces. The limit of the iterative interpolation process has the form of a global method. But the control points of the limit surface can be computed using a local approach. Therefore, the new technique enjoys the advantages of both a local method and a global method, i.e., it can handle meshes of any size and any topology while generating smooth interpolating subdivision surfaces that faithfully resemble the shape of the given meshes. The meshes considered here can be open or closed.

The remaining part of the paper is arranged as follows. In Section 2, we present the concept of progressive interpolation for Loop subdivision surfaces on closed meshes. In Section 3, we prove the convergence of this iterative interpolation process. Extension of this technique to open meshes is considered in Section 4. Implementation issues and test results are presented in Section 5. Concluding remarks are given in Section 6.

## 2 Progressive Interpolation Using Loop Subdivision Surfaces for Closed Meshes

The concept of Loop subdivision surface based *progressive interpolation* for closed meshes can be described as follows.

Given a closed 3D triangular mesh  $M = M^0$ . To interpolate the vertices of  $M^0$  with a Loop subdivision surface, one needs to find a closed control mesh  $M$  whose Loop surface passes through all the vertices of  $M^0$ . Instead of finding the relationship between the vertices of  $M$  and the vertices of  $M^0$  directly, we use an iterative process to do the job.

First, we consider the Loop surface  $S^0$  of  $M^0$ . For each vertex  $V^0$  of  $M^0$ , we compute the distance

tween this vertex and its limit point  $V_\infty^0$  on  $S^0$ ,

$$D^0 = V^0 - V_\infty^0,$$

and add this distance to  $V^0$  to get a new vertex called  $V^1$  as follows:

$$V^1 = V^0 + D^0.$$

The set of all the new vertices is called  $M^1$ . We then consider the Loop surface  $S^1$  of  $M^1$  and repeat the same process.

In general, if  $V^k$  is the new location of  $V^0$  after  $k$  iterations of the above process and  $M^k$  is the set of all the new  $V^k$ 's, then we consider the Loop surface  $S^k$  of  $M^k$ . We first compute the distance between  $V^0$  and the limit point  $V_\infty^k$  of  $V^k$  on  $S^k$

$$D^k = V^0 - V_\infty^k. \quad (1)$$

We then add this distance to  $V^k$  to get  $V^{k+1}$  as follows:

$$V^{k+1} = V^k + D^k. \quad (2)$$

The set of new vertices is called  $M^{k+1}$ .

This process generates a sequence of control meshes  $M^k$  and a sequence of corresponding Loop surfaces  $S^k$ .  $S^k$  converges to an interpolating surface of  $M^0$  if the distance between  $S^k$  and  $M^0$  converges to zero. Therefore the key task here is to prove that  $D^k$  converges to zero when  $k$  tends to infinity. This will be done in the next section.

Note that for each iteration in the above process, the main cost is the computation of the limit point  $V_\infty^k$  of  $V^k$  on  $S^k$ . For a Loop surface, the limit point of a control vertex  $V$  with valence  $n$  can be calculated as follows:

$$V_\infty = \beta_n V + (1 - \beta_n) Q \quad (3)$$

where

$$\beta_n = \frac{3}{11 - 8 \times \left( \frac{3}{8} + \left( \frac{3}{8} + \frac{1}{4} \cos \frac{2\pi}{n} \right)^2 \right)} \quad (3)$$

and

$$Q = \frac{1}{n} \sum_{i=1}^n Q_i.$$

$Q_i$  are adjacent vertices of  $V$ . This computation involves nearby vertices only. Hence the progressive interpolation process is a local method and, consequently, can handle meshes of any size.

Another point that should be pointed out is, even though this is an iterative process, one does not have to repeat each step strictly. By finding out when the distance between  $M^0$  and  $S^k$  would be smaller than the given tolerance, one can go directly from  $M^0$  to  $M^k$ , skipping the testing steps in between.



### 3 Convergence of the Iterative Interpolation Process for Closed Meshes

The proof needs a fact about the eigenvalues of the product of positive definite matrices. This fact is presented in the following lemma.

**Lemma 1.** *Eigenvalues of the product of positive definite matrices are positive.*

**Proof.** The proof of Lemma 1 follows immediately from the fact that if  $P$  and  $Q$  are square matrices of the same dimension, then  $PQ$  and  $QP$  have the same eigenvalues (see, e.g., [17], p.14).

To prove the convergence of the iterative interpolation process for Loop subdivision surfaces, note that at the  $(k+1)$ -st step, the difference  $D^{k+1}$  can be written as:

$$D^{k+1} = V^0 - V_\infty^{k+1} = V^0 - (\beta_n V^{k+1} + (1 - \beta_n) Q^{k+1})$$

where  $Q^{k+1}$  is the average of the  $n$  adjacent vertices of  $V^{k+1}$

$$Q^{k+1} = \frac{1}{n} \sum_{i=1}^n Q_i^{k+1}$$

By applying (2) to  $V^{k+1}$  and each  $Q_i^{k+1}$ ,

$$Q_i^{k+1} = Q_i^k + D_i^k$$

we get

$$D^{k+1} = V^0 - (\beta_n V^k + (1 - \beta_n) Q^k) - (\beta_n D^k + \frac{1 - \beta_n}{n} \sum_{i=1}^n D_i^k) = D^k - (\beta_n D^k + \frac{1 - \beta_n}{n} \sum_{i=1}^n D_i^k)$$

where  $Q^k$  is the average of the  $n$  adjacent vertices of  $V^k$ . In matrix form, we have

$$[D_1^{k+1}, D_2^{k+1}, \dots, D_m^{k+1}]^T = (I - B) \begin{bmatrix} D_1^k \\ D_2^k \\ \vdots \\ D_m^k \end{bmatrix} = (I - B)^{k+1} \begin{bmatrix} D_1^0 \\ D_2^0 \\ \vdots \\ D_m^0 \end{bmatrix}$$

where  $m$  is the number of vertices in the given matrix,  $I$  is an identity matrix and  $B$  is a matrix of the following

form:

$$B = \begin{pmatrix} \beta_{n_1} & \dots & \frac{1 - \beta_{n_1}}{n_1} & \dots \\ \vdots & \ddots & \vdots & \vdots \\ \frac{1 - \beta_{n_i}}{n_i} & \dots & \beta_{n_i} & \dots \\ \vdots & \vdots & \vdots & \beta_{n_m} \end{pmatrix}$$

The matrix  $B$  has the following properties:

- 1)  $b_{ij} \geq 0$ , and  $\sum_{j=1}^n b_{ij} = 1$  (hence,  $\|B\|_\infty = 1$ );
- 2) There are  $n_i + 1$  positive elements in the  $i$ -th row, and the positive elements in each row are equal except the element on the diagonal line;
- 3) If  $b_{ij} = 0$ , then  $b_{ji} = 0$ .

Properties 1) and 2) follow immediately from the formula of  $D^{k+1}$  in (3). Property 3) is true because if a vertex  $V_i$  is an adjacent vertex to  $V_j$  then  $V_j$  is obviously an adjacent vertex to  $V_i$ . Due to these properties, we can write the matrix  $B$  as

$$B = DS$$

where  $D$  is a diagonal matrix

$$D = \begin{pmatrix} \frac{1 - \beta_{n_1}}{n_1} & 0 & \dots & 0 \\ 0 & \frac{1 - \beta_{n_2}}{n_2} & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & \dots & \frac{1 - \beta_{n_m}}{n_m} \end{pmatrix}$$

and  $S$  is a symmetric matrix of the following form:

$$S = \begin{pmatrix} \frac{n_1 \beta_{n_1}}{1 - \beta_{n_1}} & \dots & 1 & \dots \\ \vdots & \ddots & \vdots & \vdots \\ 1 & \dots & \frac{n_i \beta_{n_i}}{1 - \beta_{n_i}} & \dots \\ \vdots & \vdots & \vdots & \frac{n_m \beta_{n_m}}{1 - \beta_{n_m}} \end{pmatrix}$$

$D$  is obviously positive definite. We will show that the matrix  $S$  is also positive definite, a key point in the convergence proof.

**Theorem 1.** *The matrix  $S$  is positive definite.*

**Proof.** To prove  $S$  is positive definite, we have to show the quadric form

$$f(x_1, x_2, \dots, x_m) = X^T S X$$

is positive for any non-zero  $X = (x_1, x_2, \dots, x_m)^T$ .

Note that if vertices  $V_i$  and  $V_j$  are the endpoints of an edge  $e_{ij}$  in the mesh, then  $s_{ij} = s_{ji} = 1$  in the



matrix  $S$ . Hence, it is easy to see that

$$f(x_1, x_2, \dots, x_n) = \sum_{e_{ij}} 2x_i x_j + \sum_{i=1}^m \frac{n_i \beta_{n_i}}{1 - \beta_{n_i}} x_i^2$$

where  $e_{ij}$  in the first term  $\mathcal{V}$

ranges through all edges of the given mesh. On the other hand, if we use  $f_{ijr}$  to represent a face with vertices  $V_i, V_j$  and  $V_r$  in the mesh, then since an edge in a closed triangular mesh is shared by exactly two faces, the following relationship holds:

$$\sum_{f_{ijr}} (x_i + x_j + x_r)^2 = \sum_{e_{ij}} 4x_i x_j + \sum_{i=1}^m n_i x_i^2$$

where  $f_{ijr}$  on the left hand side ranges through all faces of the given mesh. The last term in the above equation follows from the fact that a vertex with valence  $n$  is shared by  $n$  faces of the mesh.

Hence,  $f(x_1, x_2, \dots, x_n)$  can be expressed as

$$f(x_1, x_2, \dots, x_n) = \sum_{f_{ijr}} \frac{1}{2} (x_i + x_j + x_r)^2 + \sum_{i=1}^m \left( \frac{n_i \beta_{n_i}}{1 - \beta_{n_i}} - \frac{n_i}{2} \right) x_i^2.$$

From (3), it is easy to see that  $\frac{n\beta_n}{1-\beta_n} \geq \frac{3}{5}n$  for  $n \geq 3$ . Hence,  $f(x_1, x_2, \dots, x_m)$  is positive for any none zero  $X$  and, consequently,  $S$  is positive definite.

Based on the above lemma and theorem, it is easy to conclude that the iterative interpolation process for Loop subdivision is convergent.

**Theorem 2.** The iterative interpolation process for Loop subdivision surface is convergent.

*Proof.* The iterative process is convergent if and only if absolute value of the eigenvalues of the matrix  $P = I - B$  are all less than 1, or all eigenvalues  $\lambda_i, 1 \leq i \leq m$ , of  $B$  are  $0 < \lambda_i \leq 1$ .

Since  $\|B\|_\infty = 1$ , we have  $\lambda_i \leq 1$ . On the other hand, since  $B$  is the product of two positive definite matrices  $D$  and  $S$ , following Lemma 1, all its eigenvalues must be positive. Hence, the iterative process is convergent.  $\square$

#### 4 Extension to Open Meshes

Loop subdivision surface based progressive interpolation technique can be used for open meshes as well. Actually the same advantages hold for open meshes too. Before we present Loop subdivision surface based progressive interpolation technique for open meshes, we need to review subdivision rules for the boundaries of an open mesh first.

Two kinds of boundary rules have been presented for Loop subdivision in the literature<sup>[18-21]</sup>. In this paper, we follow the rules presented in [22, 23]. These rules, together with the Loop subdivision schemes, generate a smooth surface that is  $C^1$  continuous at the boundaries<sup>[22, 23]</sup>. 18, 19

For these rules to work, the vertices on the boundary are divided into two categories: *regular vertices* and *extraordinary vertices*. A boundary vertex is called a regular vertex if its valence is 4, as the one shown in Fig.1(a). Otherwise, a boundary vertex is called an extraordinary vertex.

For each existing boundary vertex, a new vertex is computed as a linear combination of the existing vertex and its two neighbors with weights  $3/4, 1/8$  and  $1/8$ , respectively. This vertex formula applies to both regular vertices and extraordinary vertices.

For each boundary edge, a new edge vertex is generated in two ways. If the endpoints of the edge are both regular or both extraordinary, then the new vertex is just the average of the endpoints. If one of them is regular and the other one is extraordinary, then the new vertex is a linear combination of the regular vertex and the extraordinary vertex with weights  $5/8$  and  $3/8$ , respectively, as in Fig.1(c).

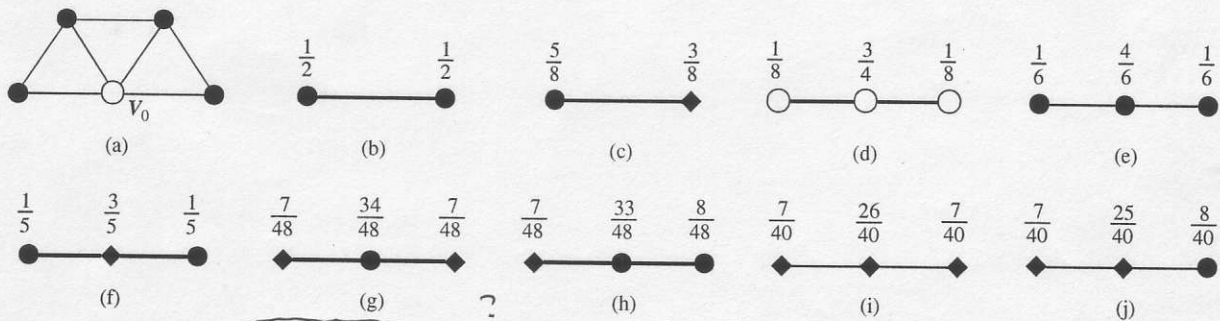


Fig.1. (a) Regular vertex. (b)~(d) Boundary subdivision rules and limit point formulas (e)~(j) where the solid circular points are regular vertices and rectangle points are extraordinary vertices.



Limit points are computed using two formulas, one for regular vertices and one for extraordinary vertices, as in Figs. 1(e) and 1(f). These formulas require both neighbors to be regular vertices. On boundaries of the initial mesh, one vertex could have either regular or extraordinary vertices. There are totally 6 different configurations. For each configuration, we can get a new limit point formula by combining the boundary subdivision rules with the standard limit points. These 6 limit point formulas are shown in Figs. 1(e)~1(j).

Note that boundary subdivision involves only the boundary vertices. Therefore interpolation can be performed for boundary vertices first. Once we have all the boundary vertices, interpolation of interior vertices is then performed without making any changes to the boundary vertices. The final mesh will interpolate both the boundary vertices and the interior vertices exactly. Interpolation of the boundary vertices is done using our progressive interpolation technique. The convergence of the interpolation is guaranteed. A mesh could have several disconnected closed boundaries, such as 6 in the pipe model in Fig.3(a). For each closed boundary, a linear system of equations can be built based on the limit point formulas for boundary vertices.

$$V_B^\infty = EV_B.$$

Since every row is from one of the 6 limit point formulas, then  $E$  must be a strictly diagonally dominant matrix which means  $\sum_{j=1, j \neq i}^n e_{ij} < e_{ii}$ . The eigenvalues  $\lambda_i$  of  $E$  satisfy  $|\lambda_i| \leq 1$  for  $\sum_{j=1}^n e_{ij} = 1$ . Thus the eigenvalues of  $E$  are in  $(0, 1]$ . The advantage of our technique is very desirable. No matter how many disconnected boundaries there are, interpolation is done for all boundaries at the same time just through the local geometric operations. It avoids explicitly solving several linear equations separately.

Interpolation of interior vertices also uses the progressive interpolation technique. Its convergence is also provable. Let  $V = V_B \cup V_I$  be the vertex set of the initial mesh  $M$ , where  $V_B$  and  $V_I$  are the set of boundary vertices and interior vertices, respectively. If we add one extra vertex  $q$  to  $M$  and connects every boundary vertices with  $q$ , we get an closed mesh  $M'$  with vertex set  $V_C = V \cup q$ .

Applying the vertex limit point formula (3) to interior vertices, we get a linear equation:

$$V_I^\infty = WV = W_I V_I + W_B V_B.$$

$W_I$  is a submatrix of  $W$  consisting of  $|V_I|$  columns of  $W$  corresponding to the interior vertices.  $W_B$  is a similar submatrix corresponding to the boundary vertices.  $W_I$  is similar to  $B$  for closed meshes. It can be decomposed into one diagonal matrix  $D$  and a symmetric

matrix  $S$ . *太緊*

For this new closed mesh  $M'$ , it is okay to apply the progressive interpolation technique developed in the previous sections. Therefore, the following equations hold.

$$V_C^\infty = FV_C.$$

That is,

$$\begin{bmatrix} V_I^\infty \\ V_B^\infty \\ q^\infty \end{bmatrix} = \begin{bmatrix} W_I & 0 \\ W_B & 0 \\ \cancel{w} & \cancel{q} \end{bmatrix} \begin{bmatrix} V_I \\ V_B \\ q \end{bmatrix}.$$

It is clear that  $W$  is just a submatrix of  $F$ .  $F$  is decomposed into  $D_C$  and  $S_C$ .  $S_C$  depends only on the topology of the mesh.  $M$  is part of  $M'$ . Therefore,  $S_I$  is just a minor of a positive definite matrix  $S_C$ . Now it is clear that  $W_I$  satisfies the convergent condition for progressive interpolation. The examples in Fig.3 show interpolation results of open meshes.

### 5 Results

The progressive interpolation process is implemented for Loop subdivision surfaces on a Windows platform using OpenGL as the supporting graphics system. Quite a few cases have been tested. Some of the closed cases (a hog, a rabbit, a tiger, a statue, a boy, a turtle and a bird) are presented in Fig.2. All the data sets are normalized, so that the bounding box of each data set is a unit cube. For each closed case, the given mesh and the constructed interpolating Loop surface are shown. The sizes of the data meshes, numbers of iterations performed, maximum and average errors of these cases are collected in Table 1.

Table 1. Loop Surface Based Progressive Interpolation: Test Results

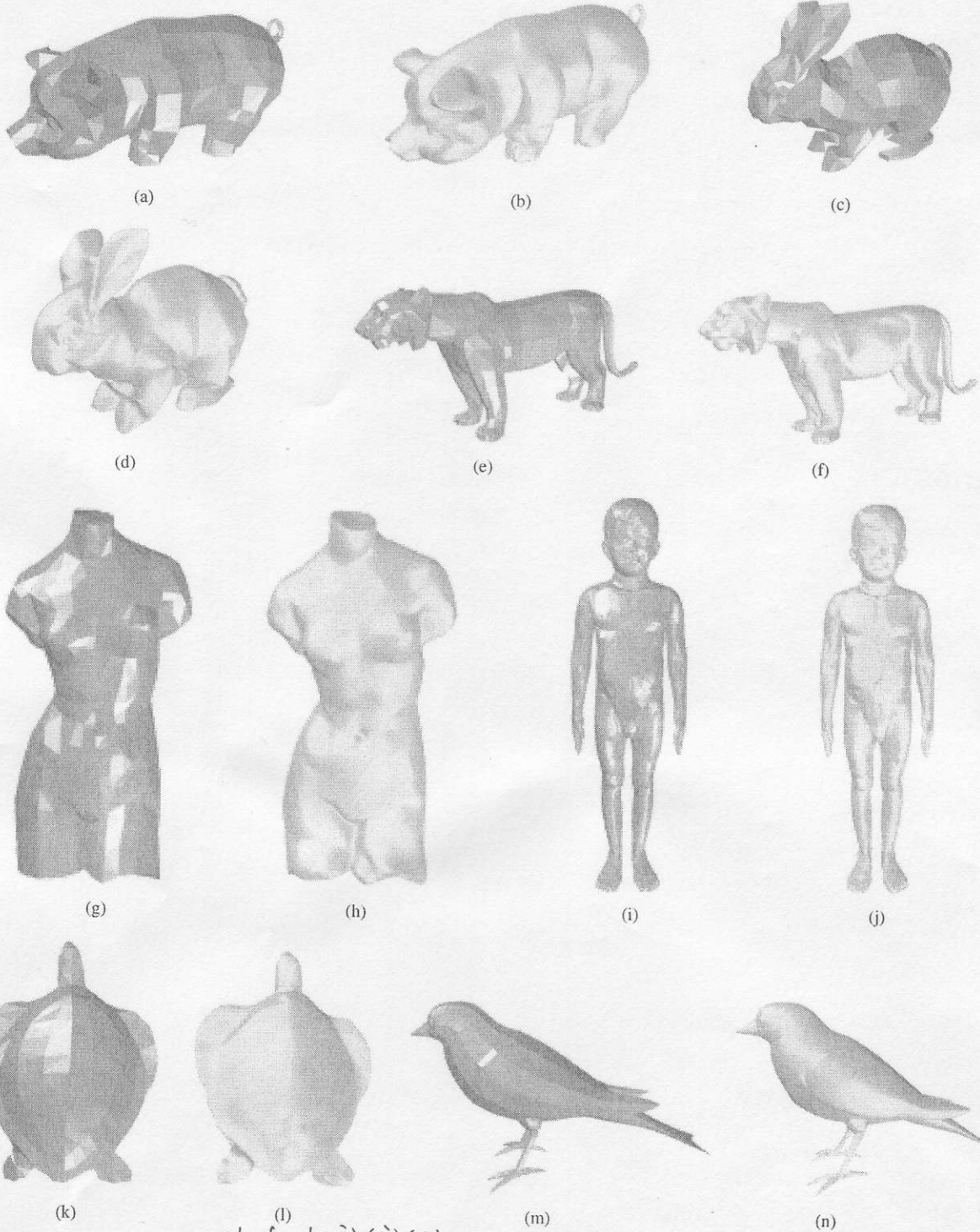
Model	# of Vertices	# of Iterations	Max Error	Ave Error
Hog	606	10	0.000 870 799	0.000 175 255
Rabbit	453	13	0.000 932 857	0.000 111 197
Tiger	956	9	0.000 720 453	0.000 141 48
Statue	711	11	0.000 890 806	0.000 109 163
Boy	17 342	6 <i>右</i>	0.000 913 795	0.000 095 615
Turtle	445	10	0.000 955 765	0.000 172 6
Bird	1 129	9	0.000 766 811	0.000 088 345

Open mesh examples are shown in Fig.3. The performance is about the same as the closed mesh examples. For instance, for the face model (299 vertices) shown in Fig.3(c), it takes 10 iterations to reach an error of 0.000 998 516 for boundary vertex interpolation and also 10 iterations to reach an error of 0.000 896 328 for interior vertex interpolation by the new progressive interpolation technique.

Is  $C$  a vector/matrix?







释放  
充满  
细节

缩  
1/10

Fig.2. Examples of progressive interpolation using Loop subdivision surfaces (G-mesh  $\equiv$  given mesh; I-surface  $\equiv$  interpolating Loop surface). (a) Given mesh. (b) I-surface. (c) Given mesh. (d) I-surface. (e) Given mesh. (f) I-surface. (g) Given mesh. (h) I-surface. (i) G-mesh. (j) I-surface. (k) Given mesh. (l) I-surface. (m) Given mesh. (n) I-surface.

(d)(f)(h)(j)(l)(n)

(c)(e)(g)(i)(k)(m)





可图粒放P.6?

彩

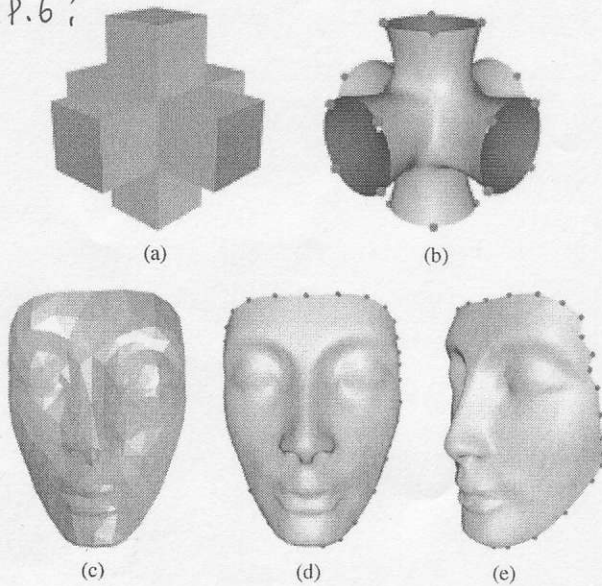


Fig.3. Open mesh examples (I-surface  $\equiv$  interpolating Loop surface). Red solid dots are boundary vertices of the given mesh.

(a) Given mesh. (b) I-surface. (c) Given mesh. (d) I-surface. (e) Different view.

From these results, it is easy to see that the progressive interpolation process is very efficient and can handle large meshes with ease. This is so because of the exponential convergence rate of the iterative process. Another point that can be made here is, although no fairness control factor is added in the progressive iterative interpolation, the results show that it can produce visually pleasing surface easily.

### Concluding Remarks

A progressive interpolation technique for Loop subdivision surfaces is presented and its convergence is proved. The limit of the iterative interpolation process has the form of a global method. Therefore, the new method enjoys the strength of a global method. On the other hand, since control points of the interpolating surface can be computed using a local approach, the new method also enjoys the strength of a local method. Consequently, we have a subdivision surface based interpolation technique that has the advantages of both a local method and a global method. The new technique works for both open and closed meshes. Our next job is to investigate progressive interpolation for Catmull-Clark and Doo-Sabin subdivision surfaces.

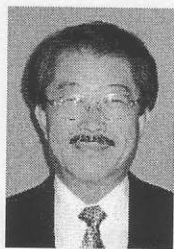
**Acknowledgement** Triangular meshes used in this paper are downloaded from the Princeton Shape Benchmark<sup>[22]</sup>.

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