

# **CS375: Logic and Theory of Computing**

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# Question 1:

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**Q: Why are you Here? or,  
why are you taking this course?**

**Ans: ???**

# Question 2:

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**Q: Who do you think is the most important scientist from the last (20-th) century?**

**Ans: keep your answer to yourself.**

**The same question will be asked at the end of the semester again.**

For the first question: why are  
you here?

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**You are here to learn  
three things.**

# Three things you will learn in this class:

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## 1: The foundation of modern day computers

*i.e., Turing machines (1936)*

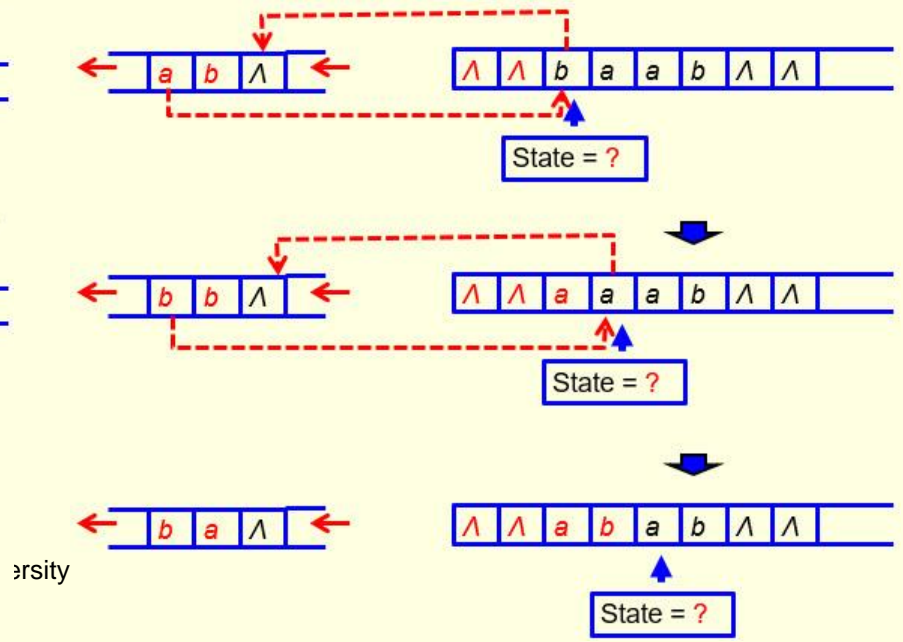
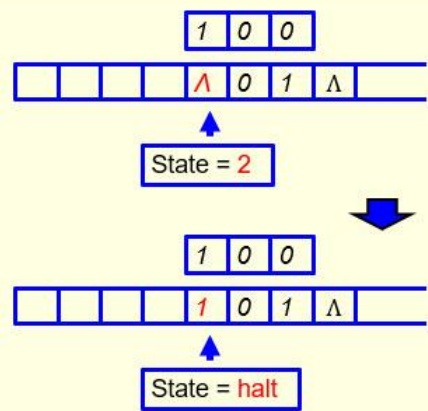
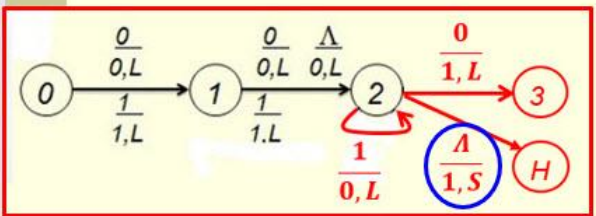
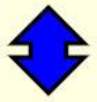
- *a piece of work that changed the world/  
human history*

# 1: The foundation of modern day computers

Such as: *how to design a machine that can perform arithmetic operations?*  
 or, *how to design a machine that can process/parse strings?*

Add 1

Add 1:	
(2, 0, 1, L, 3)	Move left
(2, 1, 0, L, 2)	Carry
(2, $\Lambda$ , 1, S, halt)	Done



arsity

# Three things you will learn in this class:

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## 2: The person who developed that theory

*Dr. Alan Turing*

- *The Nobel Prize equivalent in computer science area (**Turing Award**) was named after him*

# Three things you will learn in this class:

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## 3: A little history on the life of that person

*Dr. Alan Turing (1912-1954)*

- *including the reason why the **logo** of the **Apple Computer company** is a bitten apple*





# The Arrangement:

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***Preliminaries***



***Regular Languages + Finite Automata***



***Context-Free Languages +  
Pushdown Automata***



***Turing machines + Church-Turing Thesis***

# Table of Contents:

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- **Week 1: Preliminaries** (set algebra, relations, functions) (read Chapters 1-4)
- **Weeks 2-5: Regular Languages, Finite Automata** (Chapter 11)
- **Weeks 6-8: Context-Free Languages, Pushdown Automata** (Chapters 12)
- **Weeks 9-11: Turing Machines** (Chapter 13)

# Table of Contents (conti):

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- **Weeks 12-13: Propositional Logic (Chapter 6), Predicate Logic (Chapter 7), Computational Logic (Chapter 9), Algebraic Structures (Chapter 10)**

# 1. Preliminaries — set algebra

■ **Set** : collection of things

(**order** not important; **repetition** not allowed)

■ Notations:

$$x \in S, \quad x \notin S$$

$$S = \{x_1, x_2, x_3, \dots, x_n\}$$

**{ }**,  **$\Phi$**  : empty set

$Z, N, Q, R$

## ■ Notations:

$A = B$  : two sets  $A$  and  $B$  are equal

$\{a, b, c\} = \{c, b, a\}$ ?

$\{a, a, b, c\} = \{a, b, c\}$ ?

$\{x / P\}$  : the set of *all*  $x$  that satisfies  $P$

e.g., the set of odd natural numbers =  $\{1, 3, 5, \dots\}$

=  $\{x \mid x = 2k + 1 \text{ for some } k \in \mathbb{N}\}$

■ Notations:

$A \subseteq B$  : *A is a subset of B*

$N \subseteq Z \subseteq Q \subseteq R$

$S \subseteq S$

$\emptyset \subseteq S$

*Power(S) = the set of all subsets of S*

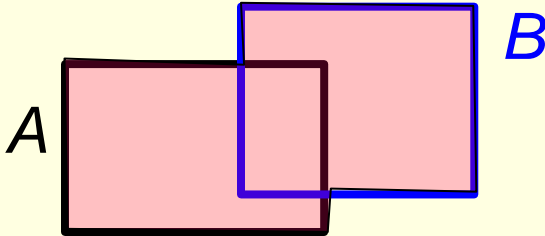
*power({a, b, c}) = ?*

*If  $|S| = n$  then  $|\text{power}(S)| = 2^n$*

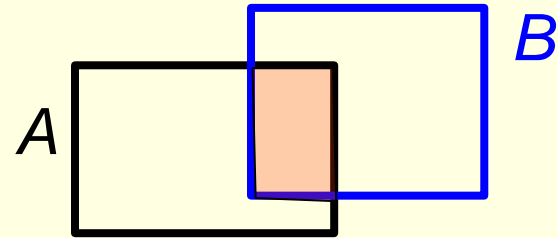
Venn diagram

■ Notations:

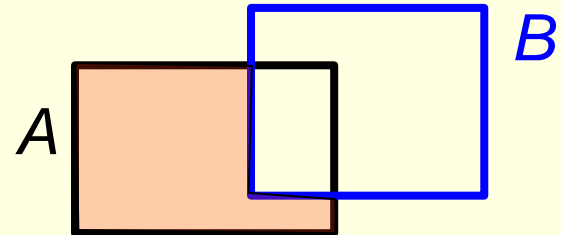
$A \cup B$  : union



$A \cap B$  : intersection

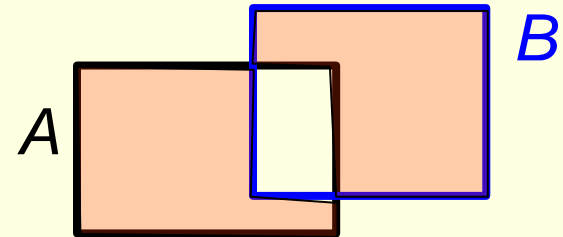


$A - B$  : difference

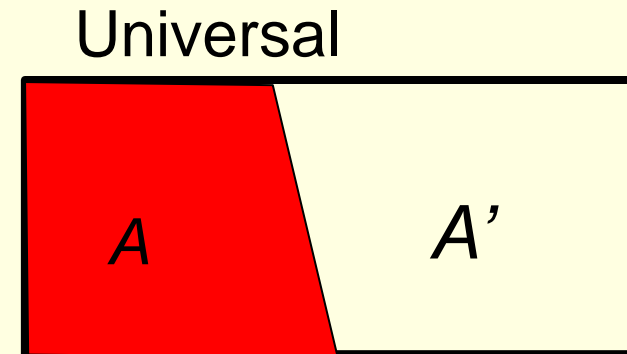


## ■ Notations:

$A \oplus B$  : *symmetric difference*

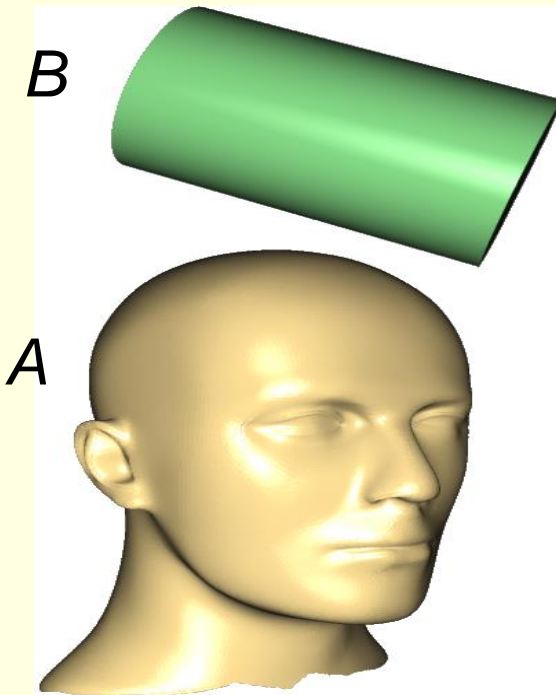


$A' = U - A$  : *universal complement*

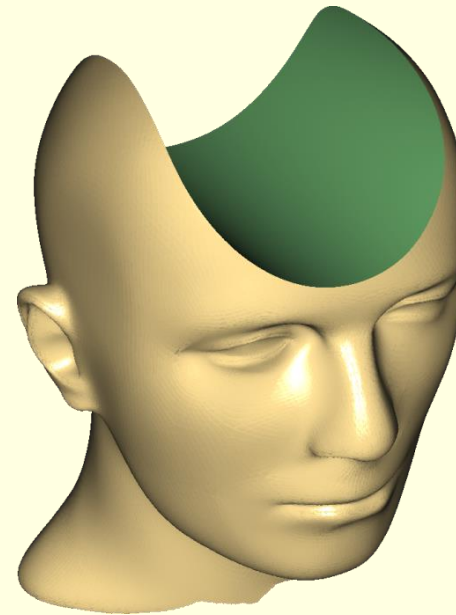




e.g.,



$A - B$   
*(depends on where B is)*



## ■ Properties:

*Union* and *intersection* are **commutative**, **associative**, and **distribute** over each other

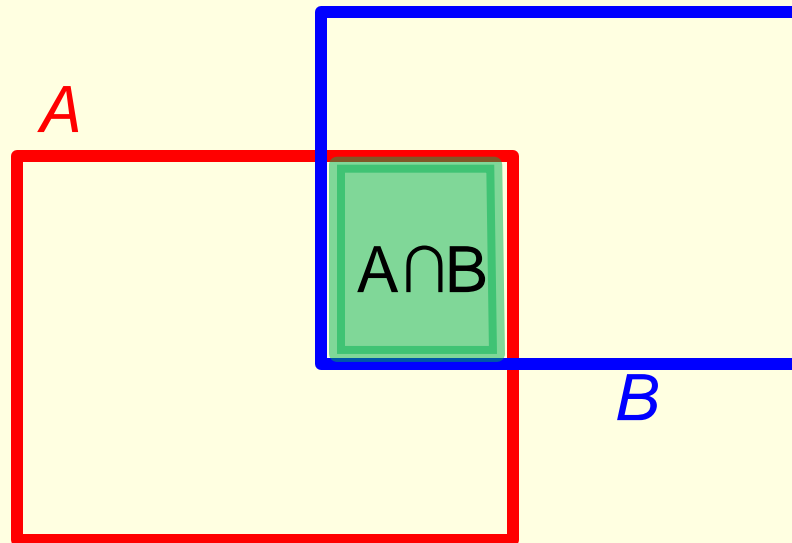
*Absorption:*

$$A \cup (A \cap B) = A$$
$$A \cap (A \cup B) = A$$

*De Morgan's Laws:*

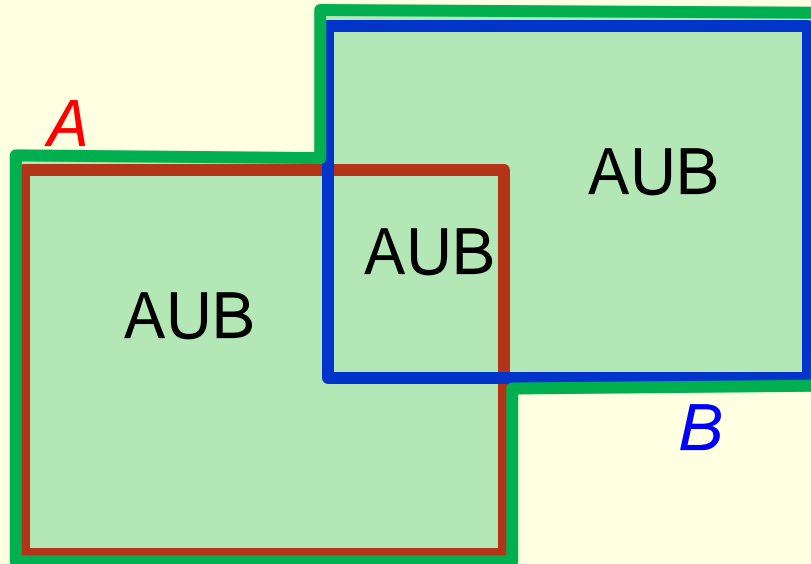
$$(A \cup B)' = A' \cap B'$$
$$(A \cap B)' = A' \cup B'$$

*Absorption 1:*  $A \cup (A \cap B) = A$



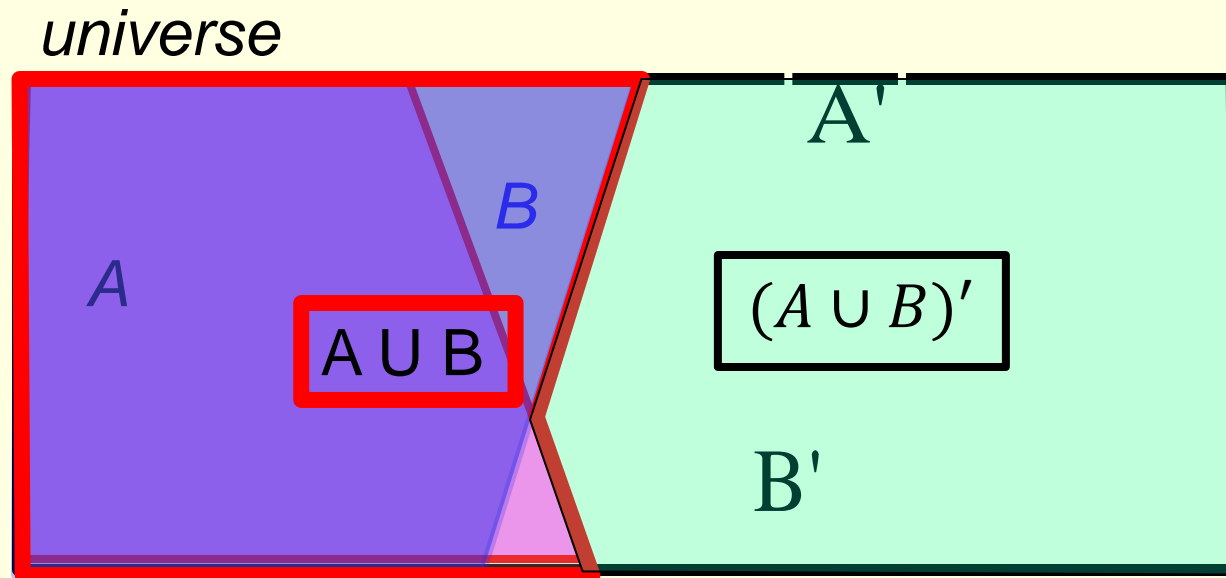
So  $A \cup (A \cap B) = A$

*Absorption 2* :  $A \cap (A \cup B) = A$



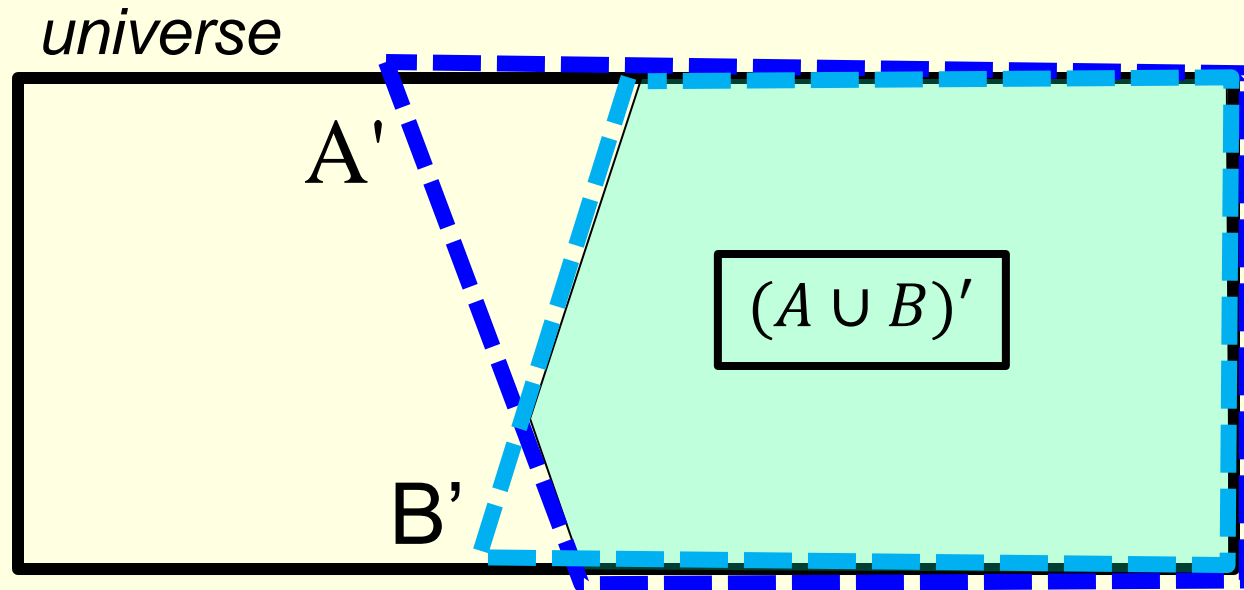
So  $A \cap (A \cup B) = A$

*De Morgan's Law 1:*  $(A \cup B)' = A' \cap B'$



So  $(A \cup B)' = A' \cap B'$

*De Morgan's Law 1:*  $(A \cup B)' = A' \cap B'$



So  $(A \cup B)' = A' \cap B'$

## ■ Properties:

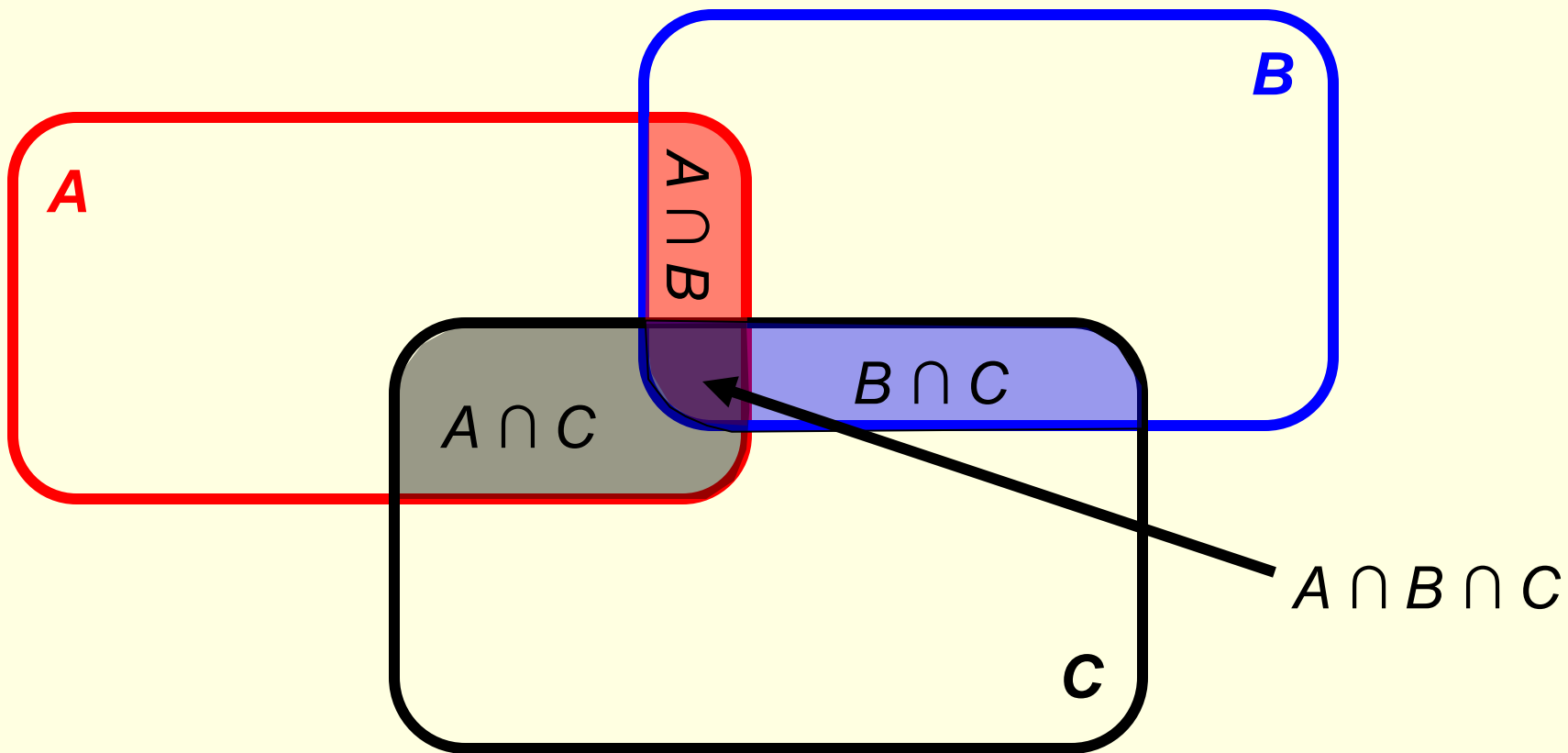
$|S|$  : *cardinality* of  $S$

*Union rule* :  $|A \cup B| = |A| + |B| - |A \cap B|$

*Difference rule* :  $|A - B| = |A| - |A \cap B|$

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$





$$\text{Union rule : } |A \cup B| = |A| + |B| - |A \cap B|$$

$$|A \cup B \cup C| = |A \cup B| + |C| - |(A \cup B) \cap C|$$

$$= |A| + |B| - |A \cap B| + |C| - |(A \cap C) \cup (B \cap C)|$$

Why?

$$= |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

$$\text{Union rule : } |A \cup B| = |A| + |B| - |A \cap B|$$

Here is why:

$$|(A \cap C) \cup (B \cap C)|$$

$$= |A \cap C| + |B \cap C| - |(A \cap C) \cap (B \cap C)|$$

$$= |A \cap C| + |B \cap C| - |A \cap B \cap C|$$

- **Inductively defined sets**: used for sets with a linear order
- 

- To define a set  $S$  *inductively* is to do *three* things:

**Basis**: Specify one or more elements of  $S$ .

**Induction**: Specify one or more rules to construct elements of  $S$  from existing elements of  $S$ .

**Closure**: Specify that no other elements are in  $S$  (always assumed).

(**Basis elements** and **induction rules** are called **constructors**)

**Example.** Find an *inductive definition* for

$$S = \{\Lambda, ac, \underline{aacc}, \underline{aaaccc}, \dots\} = \{a^n c^n \mid n \in \mathbf{N}\}.$$

**Solution:**

*Basis:*  $\Lambda \in S$ .

*Induction:* If  $x \in S$  then  $\underline{axc} \in S$ .

$$a^n c^n = a a^{n-1} c^{n-1} c$$

**Example.** Find an *inductive definition* for

$$S = \{a^{n+1} b c^n \mid n \in \mathbf{N}\}.$$

**Solution:**

*Basis:*  $\underline{ab} \in S$ .

*Induction:* If  $x \in S$  then  $\underline{axc} \in S$ .

$$a^{n+1} b c^n = a a^n b c^{n-1} c$$

# Functions

*Must be precise  
and unique*

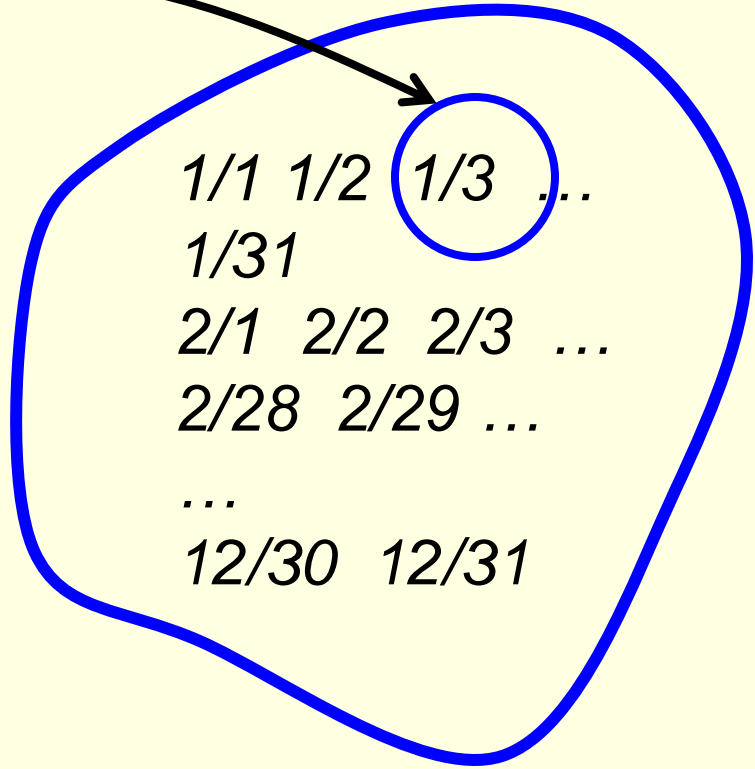
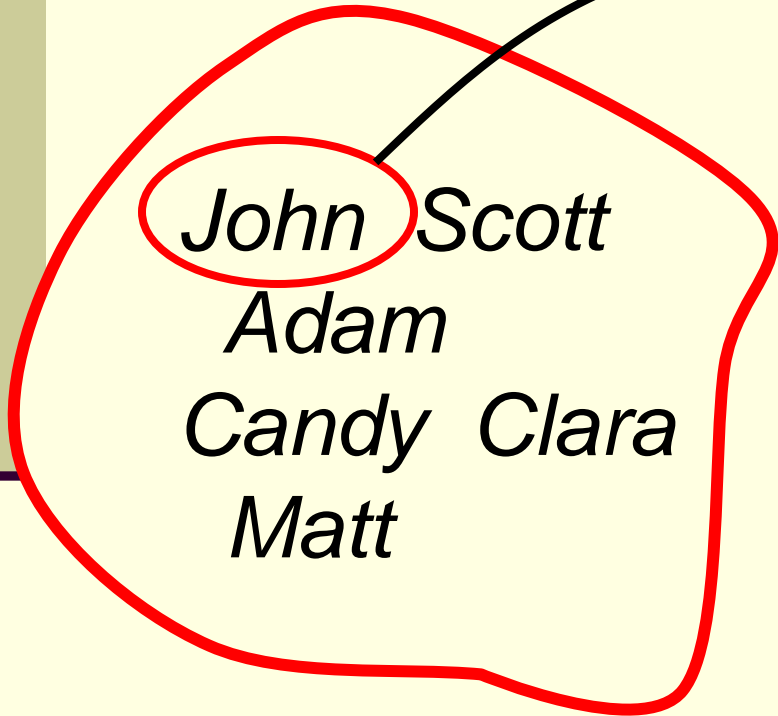
What is a *function*?

a *function* is a way to *classify/characterize* things.

For instance, you can *classify/characterize* a group of people by their *birthdays*.

# What is a function?

*John's birthday*



# Functions

A **function**  $f$  from  $A$  to  $B$  associates each element of  $A$  with **EXACTLY** one element of  $B$ .

Notations:

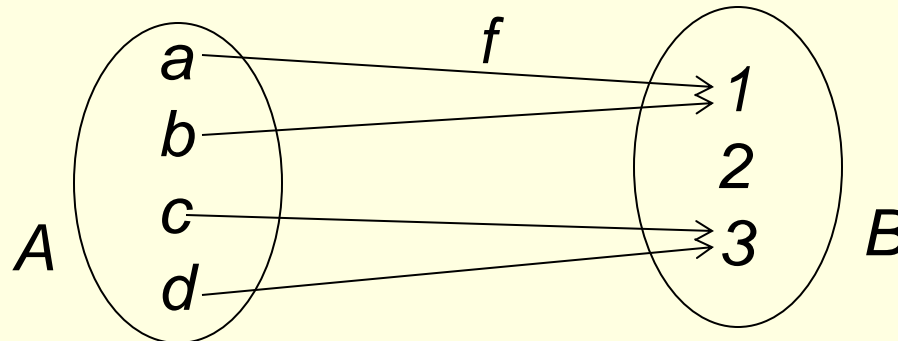
$$f : A \rightarrow B$$

domain

codomain (*image*)

$$f(a)=f(b)=1$$

$$f(c)=f(d)=3$$



Function?

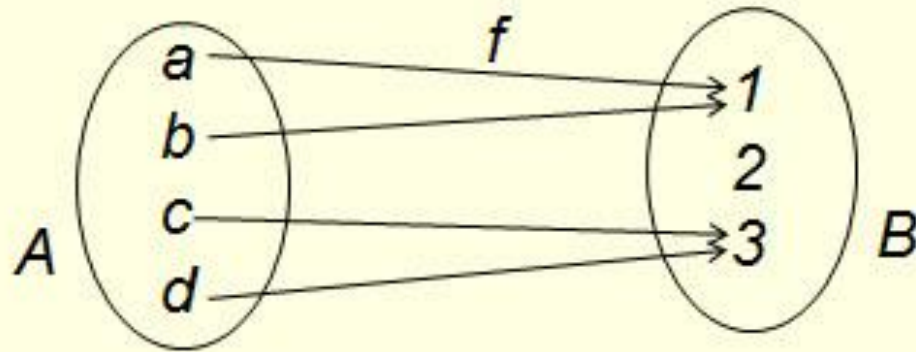
Yes

# Functions

## Notations:

$$f(a)=f(b)=1$$

$$f(c)=f(d)=3$$



$$\text{range}(f) = f(A) = \{f(x) \mid x \in A\} = \{1, 3\}$$

$$f(\{a, b\}) = \{1\}$$

$$f^{-1}(\{2\}) = \phi$$

$$f^{-1}(\{1, 2, 3\}) = \{a, b, c, d\}$$

( *Inverse image of {2}* )

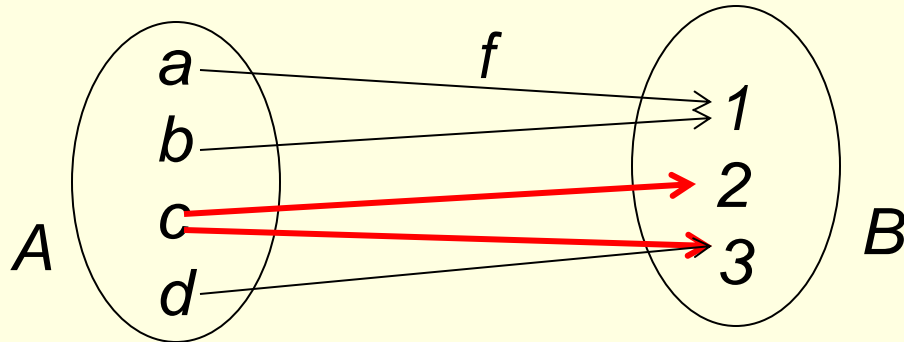


# Functions

$$f(a)=f(b)=1$$

$$f(c)=f(d)=3$$

$$f(c) = 2$$



Function?

**No**

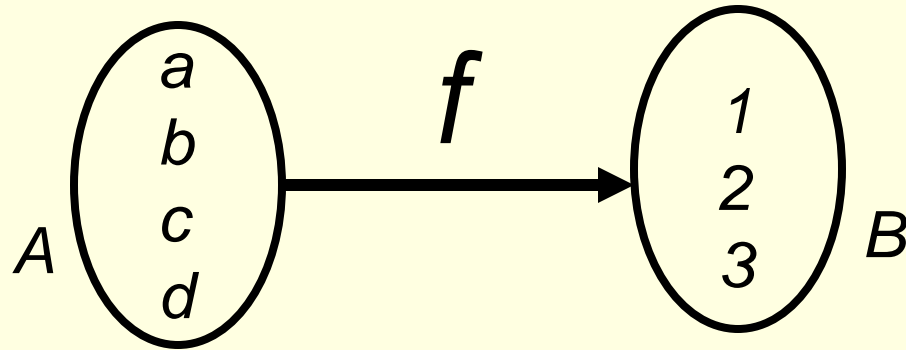
Floor and Ceiling functions:

$\lfloor x \rfloor = \text{floor}(x)$  : largest integer not greater than  $x$

$\lceil x \rceil = \text{ceiling}(x)$  : smallest integer not less than  $x$

are functions from  $\mathbb{R} \rightarrow \mathbb{Z}$

# Functions



*How many different functions from  $A$  to  $B$  can be defined?*

$$3 \times 3 \times 3 \times 3 = 3^4$$

# Things you need to know about functions:

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- *Composition of functions*
- *Inverse function*
- *GCD, Division algorithm, Euclid algorithm*
- *Mod functions and inverses*
- *Pigeon Hole Principle*
- *Hash functions*
- *Recursively defined functions*
- *Binary trees*

# Functions

## Greatest Common Divisor (gcd):

$x, y$  : integers, not both zero

$\text{gcd}(x, y)$  = largest integer that divides  $x$  and  $y$

Is  $\text{gcd}(x, y)$  a function? **Yes**

$$\text{gcd}(a, b) = \text{gcd}(b, a) = \text{gcd}(a, -b)$$

$$\text{gcd}(a, b) = \text{gcd}(b, a - bq) \text{ for some integer } q$$

$$\text{gcd}(a, b) = ma + nb \text{ for some integers } m \text{ and } n$$

$$\text{If } d|ab \text{ and } \text{gcd}(d, a) = 1, \text{ then } d|b$$

# Functions

$$q = a / b$$

$$r = a \% b$$

## Division algorithm

$a, b$  : integers,  $b \neq 0$

there exist **unique integers**  $q$  and  $r$  such that

$$a = bq + r, \quad 0 \leq r < |b|$$

## Euclid's algorithm (for finding $\gcd(a,b)$ )

$a, b$ : **natural** integers, not both zero

while ( $b > 0$ ) do {

    find  $q, r$  so that  $a = bq + r$  and  $0 \leq r < b$ ;

$a := b$ ;  $b := r$ ;

}

Output( $a$ );

# Functions

Examples: find  $\gcd(189, 33)$

$$a = q * b + r$$

$$189 = \boxed{5} 33 + \boxed{24}$$

$$33 = \boxed{1} 24 + \boxed{9}$$

$$24 = \boxed{2} 9 + \boxed{6}$$

$$9 = \boxed{1} 6 + \boxed{3}$$

$$9 = \boxed{1} 6 + \boxed{3}$$

$$6 = \boxed{2} 3 + \boxed{0}$$

$$3 = \boxed{\phantom{0}} 0 + \boxed{\phantom{0}}$$

Since  $b=0$ , so output  $a=3$

# Functions

## mod function

$a, b$  : integers with  $b > 0$

**$a \bmod b = r$**  if  $a = bq + r$  with  $0 \leq r < b$

*Division algorithm*

How to compute  $q$  and  $r$ ?

Solve the equation for  $q = a/b - r/b$

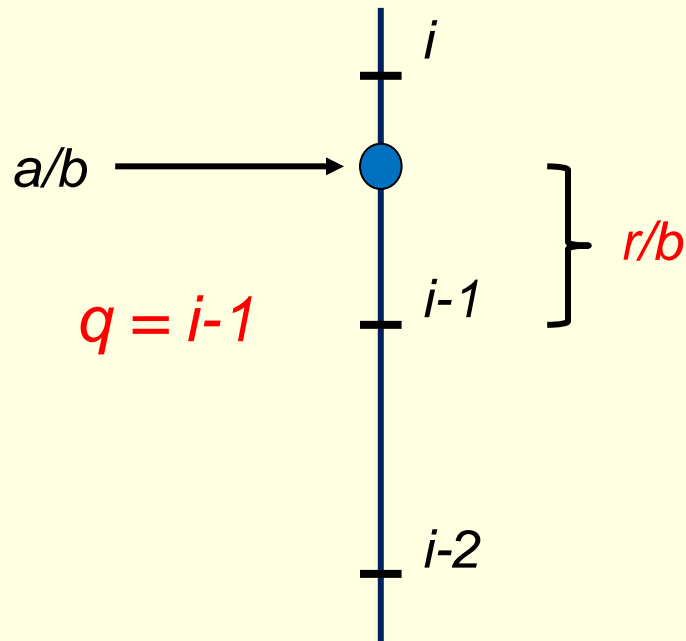
Since  $q$  is an integer and  $0 \leq r/b < 1$

it follows that  $q = \lfloor a/b \rfloor$

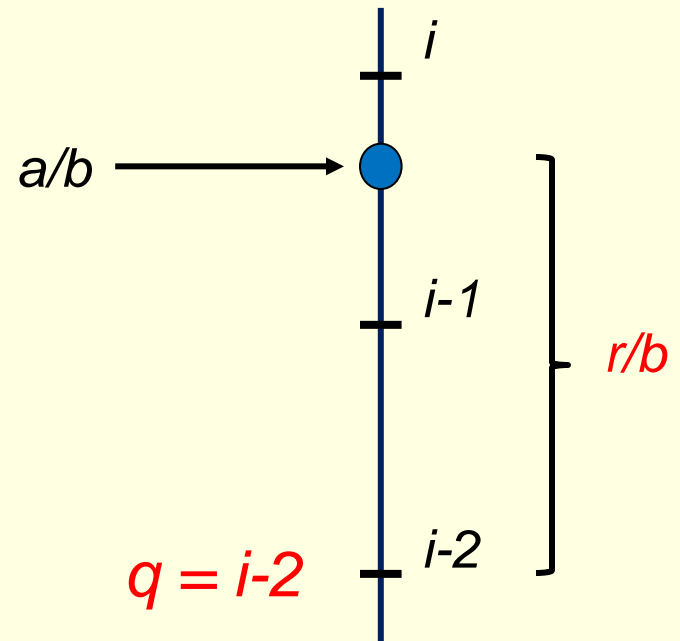
So we have

$$r = a - bq = a - b \cdot \lfloor a/b \rfloor$$

$q = i-1$  or  $i-2$  ?



(a)



(b)



# Functions

Quotient of  $a$   
divided by  $b$

## ***mod*** function

$a, b$  : integers with  $b > 0$

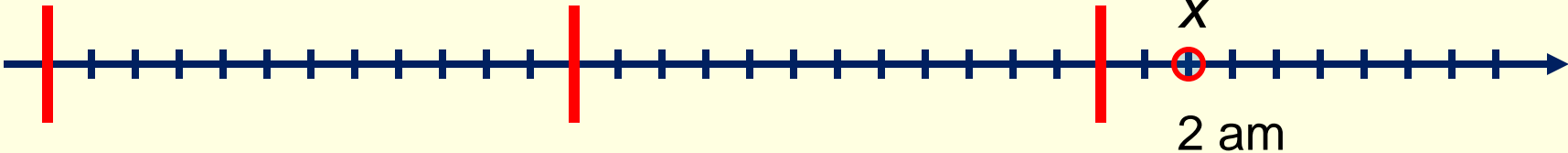
$$a \bmod b = a - b \cdot \lfloor a/b \rfloor$$

Example: It is *2am* in Paris. What time is it in San Francisco  
(9 hours difference)?

$$\begin{aligned} \text{(12 hr clock): } (2-9) \bmod 12 &= (-7) \bmod 12 \\ &= -7 - 12 \lfloor -7/12 \rfloor = -7 - 12(-1) = 5 \text{ pm} \end{aligned}$$

Paris time

remainder

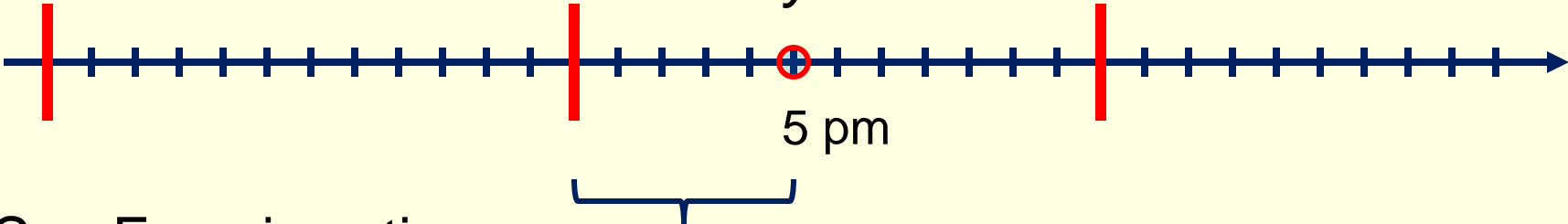


$$y = x - 9$$

5 pm

San Francisco time

remainder



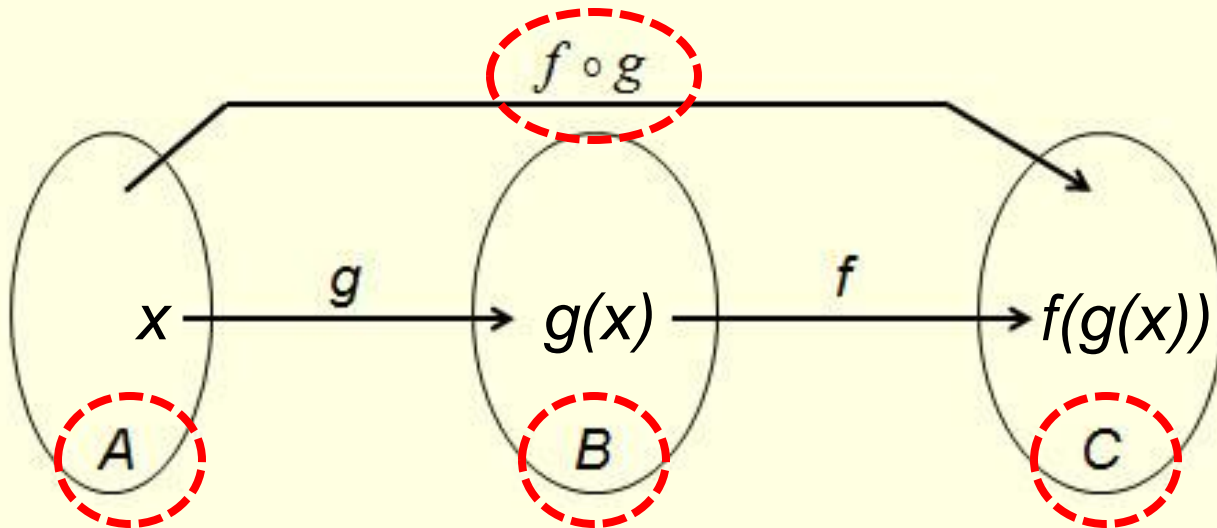
# Constructing Functions

## Composition:

$g : A \rightarrow B$  and  $f : B \rightarrow C$

*Composition* of  $f$  and  $g$  :  $(f \circ g) : A \rightarrow C$

$$(f \circ g)(x) = f(g(x))$$



# Constructing Functions

## Composition:

$g : A \rightarrow B$  and  $f : B \rightarrow C$

Composition of  $f$  and  $g$  :  $(f \circ g) : A \rightarrow C$

$$(f \circ g)(x) = f(g(x))$$

### Examples:

$$\text{floor}(\log_2 20) = \text{floor}(4.xx) = 4$$

$$\text{ceiling}(\log_2 20) = \text{ceiling}(4.xx) = 5$$

# Properties of Functions

Given:  $f : A \rightarrow B$

**Injective (one-to-one):**  $x \neq y \Rightarrow f(x) \neq f(y)$

**Surjective (onto):**  $\forall b \in B \exists a \in A$  such that  $b = f(a)$

**Bijjective (one-to-one & onto):** injective + surjective

**Inverse:** If  $f$  is a bijection, then the inverse of  $f$ ,  $f^{-1}$ , exists and is defined by  $f^{-1}(b) = a$  iff  $f(a) = b$

# Properties of Functions

**Injective (one-to-one) :**  $x \neq y \Rightarrow f(x) \neq f(y)$

Example:  $f: N_8 \rightarrow N$  where  $N_n = \{0, 1, \dots, n-1\}$

$$f(x) = 2x \text{ mod } 8$$

Is  $f$  injective? ( $f(0) = ?$   $f(4) = ?$ )

**NO**

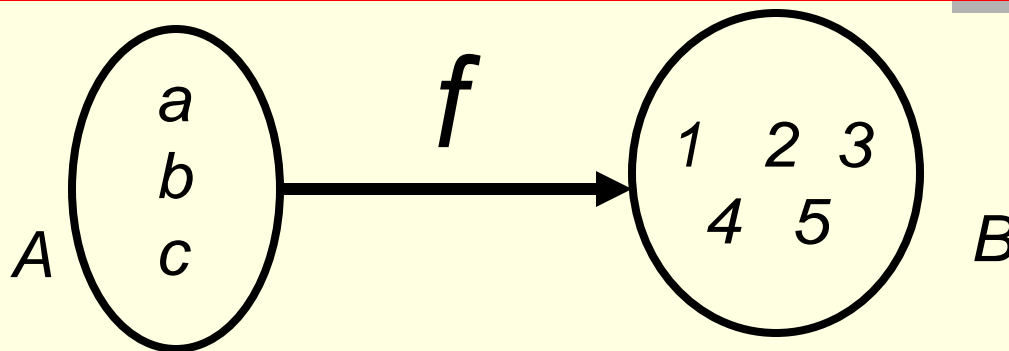
Example:  $f: Z \rightarrow N$

$$f(x) = x^2$$

Is  $f$  injective? ( $f(2) = ?$   $f(-2) = ?$ )

**NO**

# One-to-one Functions



How many different **one-to-one** functions from  $A$  to  $B$  can be defined?

$$5 \times 4 \times 3 = \frac{5!}{(5-3)!} = \frac{5!}{2!}$$

# Properties of Functions

**Surjective (onto):**  $\forall b \in B \exists a \in A$  such that  $b = f(a)$

Example:  $f : Z \rightarrow N$

$$f(x) = x^2$$

Is  $f$  surjective?

**NO**

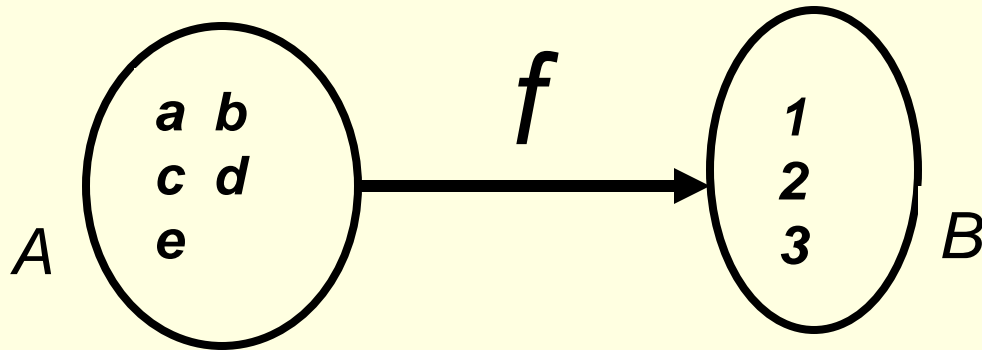
Example:  $f : Z \rightarrow N$

$$f(x) = |x|$$

$f$  surjective but not injective



# Onto Functions

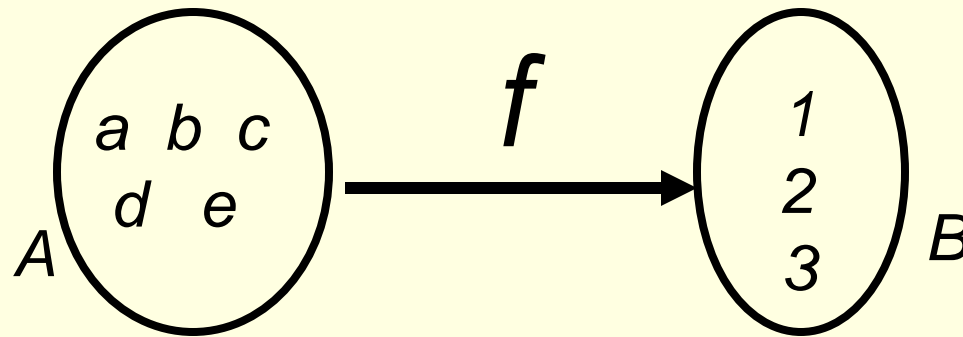


How many different **onto** functions from  $A$  to  $B$  can be defined?

$$3^5 - F_1 - F_2$$

where  $F_i$  ( $i = 1, 2$ ) is the number of different functions from  $A$  to  $B$  with each image set having  $i$  elements only.

# Onto Functions

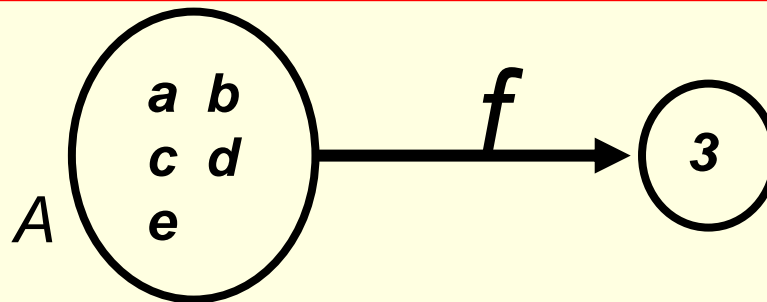
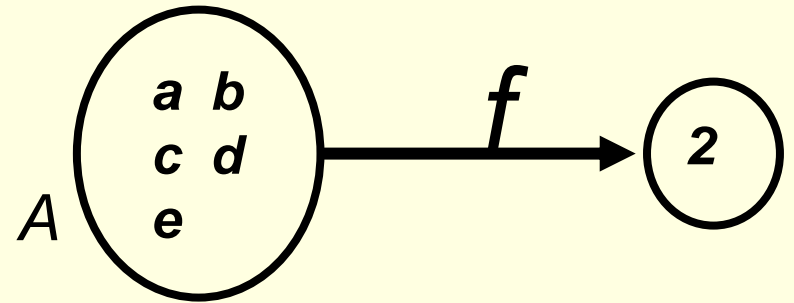
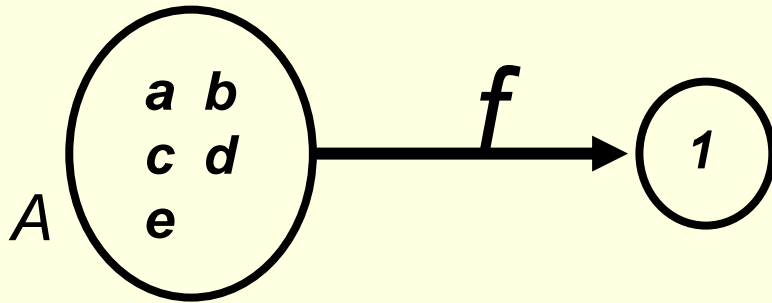


$$F_1 = 3; \quad F_2 = (2^5 - 2) \binom{3}{2}$$

Hence, the number of different onto functions from  $A$  to  $B = 3^5 - 3 - (2^5 - 2) \binom{3}{2}$

# Onto Functions

$F_1 = 3$  Why?

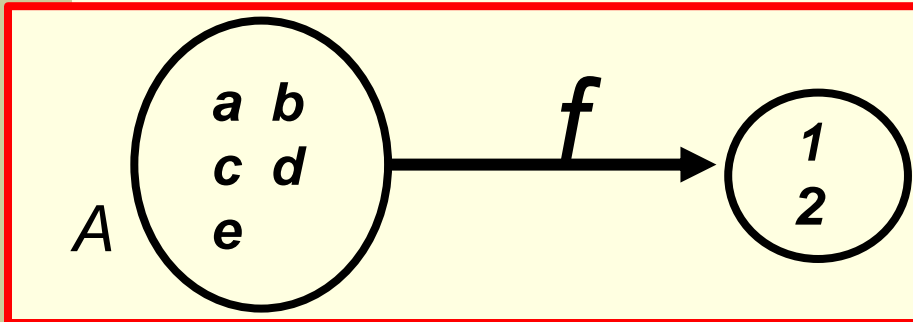


# Onto Functions

$$F_2 = (2^5 - 2) \binom{3}{2} \quad \text{Why?}$$

For a 2-element subset of  $B$ , the number of onto functions from  $A$  to that subset is:

$$2^5 - 2$$



And  $B$  has  $\binom{3}{2}$  2-element subsets.

$$\text{So } F_2 = (2^5 - 2) \binom{3}{2}$$

# Properties of Functions

**Bijjective (one-to-one & onto):**

*Example:*  $f : (0, 1) \rightarrow (2, 5)$  defined by  $f(x) = 3x + 2$  is a bijection

*Proof:*

*Example:*  $f : \mathbf{R} \rightarrow \mathbf{R}$  defined by  $f(x) = x^3$

*Is  $f$  bijective ?* **Yes**

# Functions

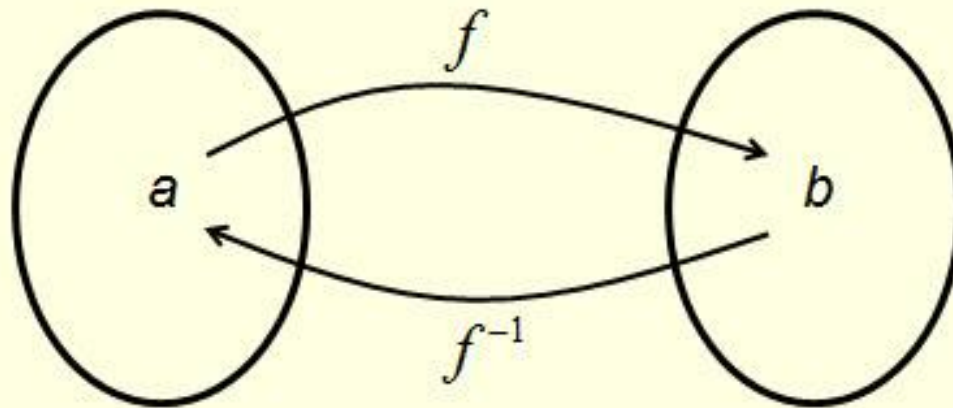
$$f : A \rightarrow B$$

$$|A| = m \quad |B| = n$$

- (1) *How many different functions can be defined from  $A$  to  $B$ ?*
- (2) *If  $m \leq n$  then how many different **one-to-one** functions can be defined from  $A$  to  $B$ ?*
- (3) *If  $m \geq n$  then how many different **onto** functions can be defined from  $A$  to  $B$ ?*

# Properties of Functions

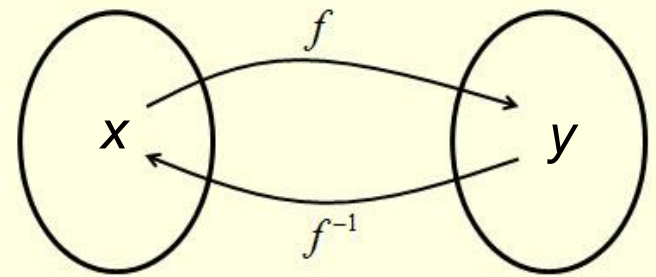
**Inverse:** If  $f$  is a *bijection*, then the *inverse* of  $f$ ,  $f^{-1}$ , exists and is defined by  $f^{-1}(b) = a$  iff  $f(a) = b$



Hence,  $f^{-1}(f(a)) = ?$        $f(f^{-1}(b)) = ?$

$$f^{-1}(f(a)) = a \quad f(f^{-1}(b)) = b$$

# Properties of Functions



Example:  $f : (0, 1) \rightarrow (2, 5)$  defined by  $f(x) = 3x + 2$  is a bijection

$$f^{-1}(y) = ?$$

Note that  $f(f^{-1}(y)) = y$

On the other hand, if we think of  $f^{-1}(y)$  as an  $x$ , then by definition, we have

$$f(\boxed{f^{-1}(y)}) = 3\boxed{f^{-1}(y)} + 2 = y$$

So,  $f^{-1}(y) = (y - 2) / 3$



$$N_5 = \{0, 1, 2, 3, 4\}$$

$$f(N_5) = \{1, 0, 4, 3, 2\}$$

## Properties of Functions

Example:  $f : N_5 \rightarrow N_5$  defined by  $f(x) = (4x+1) \bmod 5$  is a bijection

$$f^{-1} = ?$$

### Theorem (mod and inverses)

Let  $n > 1$  and  $f : N_n \rightarrow N_n$  be defined by  $f(x) = (ax+b) \bmod n$ . Then

- $f$  is bijective iff  $\gcd(a, n) = 1$
- If so, then  $f^{-1}(x) = (kx+c) \bmod n$   
where  $f(c)=0$  and  $1=ak+nm$

Why should we take 'c' and 'k' such that  $f(c) = 0$  and  $1 = ak + nm$  ?

To ensure that  $f(f^{-1}(y)) = y$

$$f(f^{-1}(y)) = f(ky + c) = a(ky + c) + b$$

$$= ak y + ac + b$$

$$= ak y + qn \text{ for some } q \in \mathbb{Z}$$

$$= (1 - nm)y + qn$$

$$= y - nmy + qn = y \pmod n$$

# Properties of Functions

Example:  $f : N_5 \rightarrow N_5$  defined by  $f(x) = (4x + 1) \bmod 5$   
is a *bijection*

$$f^{-1} = ?$$

Since  $\gcd(4, 5) = 1$ , the theorem says that  $f$  is a *bijection*.

First, find a 'c' such that  $f(c) = 0$ . e.g.,  $f(1) = 0$ .

Then use Euclid's algorithm to verify that  $1 = \gcd(4, 5)$  and work backwards through the equations to find that

$$1 = 4(-1) + 5(1). \quad \text{So } k = -1.$$

$$\text{Thus } f^{-1}(x) = (-x + 1) \bmod 5. \quad = (4x + 1) \bmod 5$$

# Properties of Functions

Find  $\gcd(5, 4)$

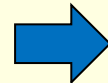
Find  $1 = 4 \cdot (-1) + 5 \cdot 1$

$$a = q * b + r$$

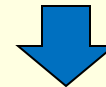
$$5 = \boxed{1} 4 + \boxed{1}$$

$$4 = \boxed{4} 1 + \boxed{0}$$

$$1 = \boxed{\phantom{0}} 0 + \boxed{\phantom{0}}$$



$$1 = 5 - 1 \cdot 4$$



$$1 = 5 \cdot (1) + 4 \cdot (-1)$$

Since  $b=0$ , so output  $a=1$

# Properties of Functions

Find  $\gcd(23, 4)$

Find  $1 = 4 \cdot (6) + 23 \cdot (-1)$

$$\begin{array}{r} 23 = \boxed{5} 4 + \boxed{3} \\ 4 = \boxed{1} 3 + \boxed{1} \\ 3 = \boxed{3} 1 + \boxed{0} \\ 1 = \boxed{\phantom{0}} 0 + \boxed{\phantom{0}} \end{array}$$

$$1 = 4 - 1 \cdot 3$$

$$1 = 4 + (23 - 5 \cdot 4) \cdot (-1)$$

$$1 = 4 \cdot 6 + 23 \cdot (-1)$$

Since  $b=0$ , so output  $a=1$

Number of elements  
in the domain

# Functions

Number of elements  
in the codomain

■ **Pigeon Hole Principle** If  $m$  pigeons are put into  $n$  holes and  $m > n$ , then one hole has two or more pigeons.  
(or: If  $A$  and  $B$  are finite sets with  $|A| > |B|$ , then there are no injections from  $A$  to  $B$ )

**Example** In Mexico City there are two people with the same number of hairs on their heads (assumption: everyone has less than 10 million hairs on their head and the population of Mexico City is more than 10 million).

Number of pigeons=?      Number of holes = ?

Population of Mexico City

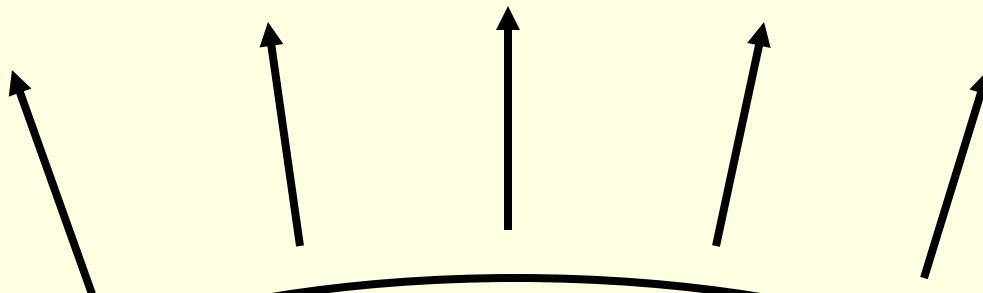
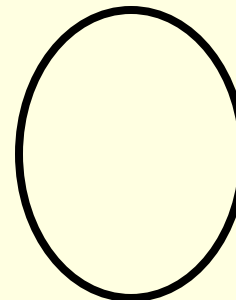
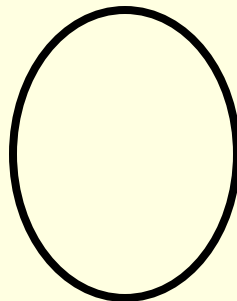
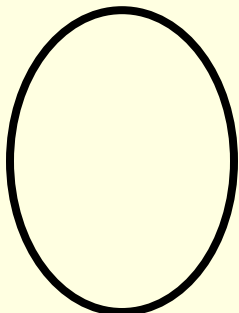
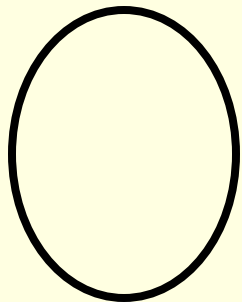
Number of people with different number of hairs

*People with 1  
hair go here*

*People with 2  
hairs go here*

*People with 3  
hairs go here*

*People with  
one million  
hairs go here*



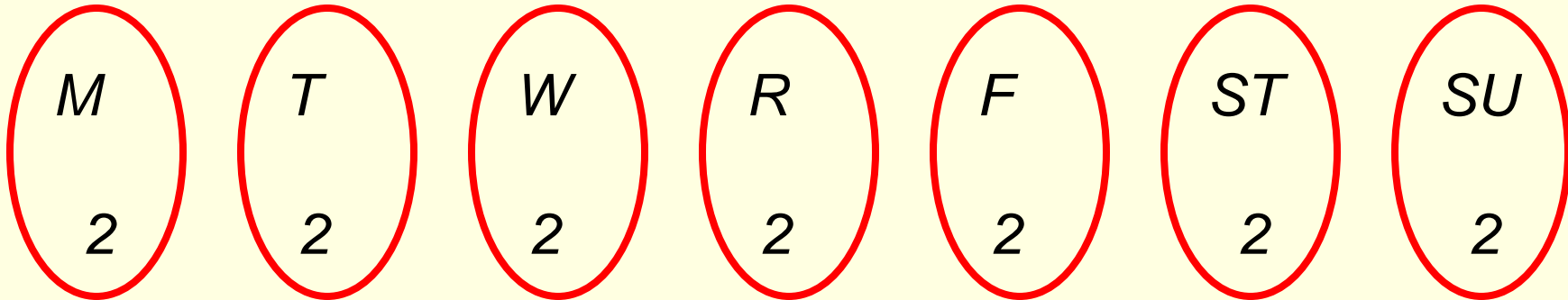
*1,000,001 people here.  
(Each person has at least 1  
hair but at most one million  
hairs)*

# Properties of Functions

**Example.** How many people are needed in a group to say that *three* were born on the same day of the week?

**Solution:**

would *14* people work?



would *15* people work?



# *Properties of Functions: hashing*

**Hash Functions** use **keys** to look up information in a table, but **without searching**, so we can **cut operation time from  $O(n)$  to  $O(1)$** .

Items are stored into a table (called a **hash table**) based on the value of a **search key** (e.g., birthday).

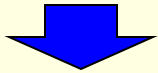
If **collisions** occur, then a **second key** (e.g., last name) is used.

The idea is to use the keys to **jump directly to the entry where the information is stored** without any searching.

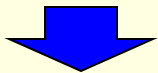
# Properties of Functions: hashing

The operation time is  $O(1)$

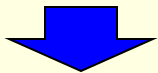
How to find T. Smith's information?



Get T. Smith's birthday : *March 1*



Compute the index:  
 $31+28+1 = 60$

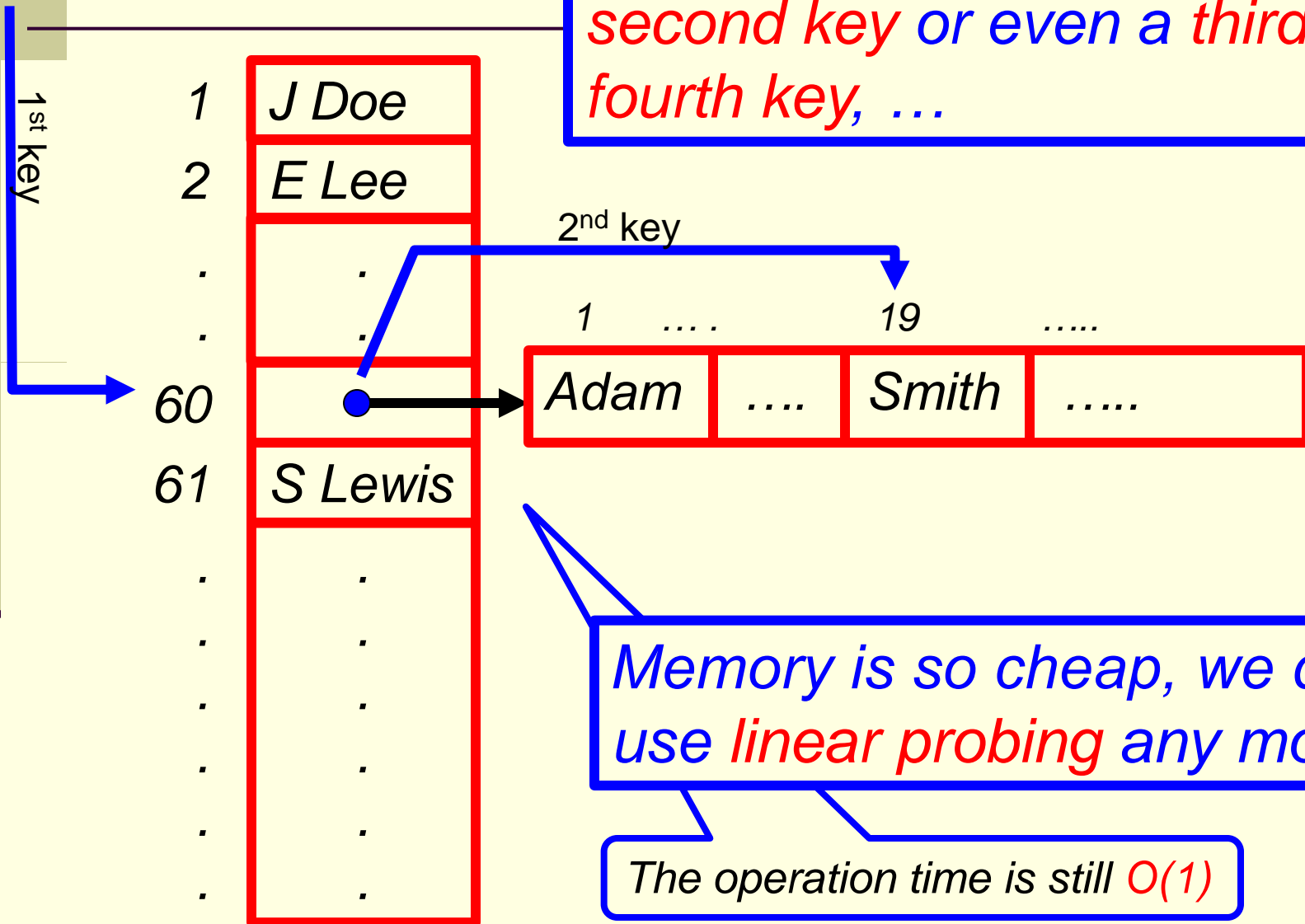


So *go to entry 60* to get T. Smith's information

1	J Doe
2	E Lee
.	.
.	.
60	T Smith
61	S Lewis
.	.
.	.
.	.
.	.
.	.
.	.
.	.

# Properties of Functions: hashing

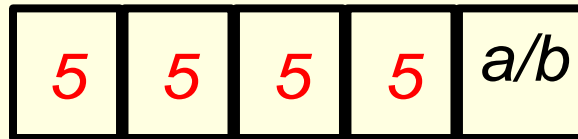
To avoid collision problem, use a *second key* or even a *third key*, a *fourth key*, ...



# String Algebra

Given an **alphabet**  $A=\{a, b, c, d, e\}$

1. what is the number of strings of length **5** over  $A$  that ends in **a** or **b**?



$$(5^4 * 2)$$

2. what is the number of strings of length 5 over  $A$  that contains at least one **c**?

Strings of length 5 over  $A$

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strings of length 5 over  $A$  that contains at least one **c**

Strings of length of 5 over  $A$  that contains no **c**

$$(5^5 - 4^5)$$

$$4^5$$

Recursiveness does not save computation time, it only makes your code more compact

## More on Functions

### Recursively Defined Functions

Function  $f$  is *recursively defined* : at least one  $f(x)$  is defined in terms of another  $f(y)$ , where  $x \neq y$ .

```
sum = 0;  
for (i=1; i<=1000; i++) sum = sum + i;
```

```
f(0) = 0;  
f(n) = f(n-1) + rule(s) on n
```



**Technique** (when argument domain is inductively defined)

1. Specify a value  $f(x)$  for each *basis element*  $x$  of  $S$ .
2. Specify *rules* that, for each *inductively defined element*  $x$  in  $S$ , define  $f(x)$  in terms of previously defined values of  $f$ .

# More on Functions

*One way to write the code:*

```
sum = 0;  
sum = sum + 1;  
sum = sum + 2;  
sum = sum + 3;  
sum = sum + 4;  
sum = sum + 5;  
⋮  
sum = sum + 1,000,000;
```

*Computation  
time is the same*

*A better way to write the code:*

```
sum = 0;  
for (i=1; i<=1000; i++) sum = sum + i;
```

# Binary Relations

Relation is a way to partition a set

A **binary relation**  $R$  over a set  $A$  is a subset of  $A \times A$ .  
If  $(x, y) \in R$  we also write  $xRy$ .

**Example.** Binary relations over  $A = \{0, 1\}$ :

$\emptyset$ ,  $A \times A$ , **eq** =  $\{(0, 0), (1, 1)\}$ , **less** =  $\{(0, 1)\}$ .

**Definitions:** Let  $R$  be a binary relation over a set  $A$ .

- $R$  is **reflexive** :  $xRx$  for all  $x \in A$ .
- $R$  is **symmetric** :  $xRy \Rightarrow yRx$  for all  $x, y \in A$ .
- $R$  is **transitive** :  $xRy, yRz \Rightarrow xRz$  for all  $x, y, z \in A$ .

# Binary Relations

**Composition:** If  $R$  and  $S$  are binary relations, then *composition of  $R$  and  $S$*  is

$$R \circ S = \{(x, z) \mid xRy \text{ and } ySz \text{ for some } y\}.$$

$$(x, y) \circ (y, z) = (x, z)$$

**Example (digraph representations).** Let  $R = \{(a, b), (b, a), (b, c)\}$  over  $A = \{a, b, c\}$ . Then  $R$ ,  $R^2 = R \circ R$ , and  $R^3 = R^2 \circ R$  can be represented by directed graphs:

$$R = \{(a, b), (b, a), (b, c)\}$$

$$R^2 = \{(a, a), (b, b), (a, c)\}$$

$$R^3 = \{(a, b), (b, a), (b, c)\}$$



# Equivalence Relations

Provides a way to *partition* the domain set

A binary relation is an **equivalence relation** if it has the three properties: **reflexive**, **symmetric**, and **transitive** (RST).

**Examples. a.** Equality on any set.

**b.**  $x \sim y$  iff  $|x| = |y|$  over the set of strings  $\{a, b, c\}^*$ .

**c.**  $x \sim y$  iff  $x$  and  $y$  have the same birthday over the set of people.

**Quiz.** Which of the relations are **RST**?

**a.**  $xRy$  iff  $x \leq y$  or  $x > y$  over  $Z$ .

**b.**  $xRy$  iff  $|x - y| \leq 2$  over  $Z$ .

**c.**  $xRy$  iff  $x$  and  $y$  are both even over  $Z$ .

(Not transitive)

(Not reflexive)

Answers. **Yes, No, No.**

# Equivalence Relations

**Equivalence Classes:** If  $R$  is RST over  $A$ , then for each  $a \in A$  the *equivalence class* of  $a$ , denoted  $[a]$ , is the set  $[a] = \{x \mid xRa\}$ .

**Property:** For every pair  $a, b \in A$  we have either  $[a] = [b]$  or  $[a] \cap [b] = \emptyset$ .

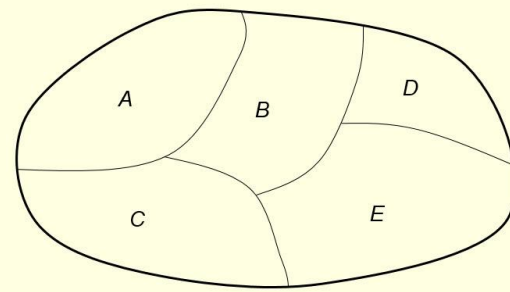
**Example.** Suppose  $x \sim y$  iff  $x \bmod 3 = y \bmod 3$  over  $\mathbf{N}$ . Then the equivalence classes are,

$$[0] = \{0, 3, 6, \dots\} = \{3k \mid k \in \mathbf{N}\}$$

$$[1] = \{1, 4, 7, \dots\} = \{3k + 1 \mid k \in \mathbf{N}\}$$

$$[2] = \{2, 5, 8, \dots\} = \{3k + 2 \mid k \in \mathbf{N}\}.$$

# Equivalence Relations



A **Partition** of a set is a collection of **nonempty disjoint subsets** whose **union** is the set.

**Example.** From the previous example, the sets  $[0]$ ,  $[1]$ ,  $[2]$  form a **partition** of  $\mathbf{N}$ .

**Theorem** (RSTs and Partitions). Let  $A$  be a set. Then the following statements are true.

1. **Equivalence classes** of any **RST** over  $A$  form a **partition** of  $A$ .
2. **Any partition of  $A$  yields an RST over  $A$** , where the sets of the partition act as the equivalence classes.

# Equivalence Relations

**Example.** Let  $x \sim y$  iff  $x \bmod 2 = y \bmod 2$  over  $\mathbf{Z}$ . Then  $\sim$  is an RST with equivalence classes  $[0]$ , the **evens**, and  $[1]$ , the **odds**. Also  $\{[0], [1]\}$  is a partition of  $\mathbf{Z}$ .

**Example.**  $\mathbf{R}$  can be partitioned into the set of **half-open intervals**  $\{(n, n + 1] \mid n \in \mathbf{Z}\}$ . Then we have an RST  $\sim$  over  $\mathbf{R}$ , where  $x \sim y$  iff  $x, y \in (n, n + 1]$  for some  $n \in \mathbf{Z}$ .

**Refinements of Partitions.** If  $P$  and  $Q$  are partitions of a set  $S$ , then  $P$  is a refinement of  $Q$  if **every  $A \in P$  is a subset of some  $B \in Q$ .**

# Equivalence Relations

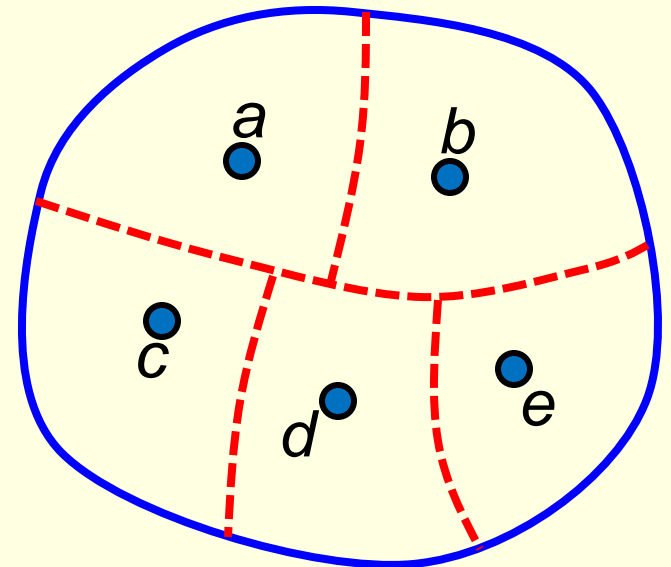
**Example.** Let  $S = \{a, b, c, d, e\}$  and consider the following four partitions of  $S$ .

$$P1 = \{\{a, b, c, d, e\}\},$$

$$P2 = \{\{a, b\}, \{c, d, e\}\},$$

$$P3 = \{\{a\}, \{b\}, \{c\}, \{d, e\}\},$$

$$P4 = \{\{a\}, \{b\}, \{c\}, \{d\}, \{e\}\}.$$



Each  $P_i$  is a *refinement* of  $P_{i-1}$ .

$P1$  is the “*coarsest*” and  $P4$  is the “*finest*”.



# End of Preliminaries